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## Dataset-JSON as Alternative Transport Format for Regulatory Submissions

Jesse Anderson, FDA CDER OCS  
Sam Hume, CDISC



# Meet the Speakers

Jesse Anderson

**Title:** Team Lead, Clinical Services

**Organization:** Office of Computational Science CDER FDA

<https://www.linkedin.com/in/andersonjessep/>



Sam Hume

**Title:** VP, Data Science

**Organization:** CDISC

<https://www.linkedin.com/in/sam-hume-dsc>



# Disclaimer and Disclosures

*The views and opinions presented here represent those of the speaker and should not be considered to represent advice or guidance on behalf of the U.S. Food and Drug Administration.*

*The views and opinions expressed in this presentation are those of the author(s) and do not necessarily reflect the official policy or position of CDISC.*



## Agenda

1. Pilot Background
2. Preliminary OCS Pilot Results
3. Executing the Pilot
4. Why you should get involved!



**Background**



# Introducing Dataset-JSON

## What is Dataset-JSON?

A dataset exchange standard for exchanging tabular data leveraging JSON designed to meet the regulatory submission needs and eliminating limitations of legacy formats

Dataset-JSON is...

- Part of the ODM v2.0 standard and based on the JSON standard
- Open-source and truly human readable
- Schema supporting any tabular format
- Extensible to support new metadata and new use cases
- Linked to Define-XML for complete metadata
- Created to be used as stand-alone datasets with smaller file sizes

# What are the goals of the pilot?

## Milestone 1: Short Term

- Pilot submissions using JSON format with existing XPT ingress/egress to carry the same data
- Same content, different suitcase, no disruption to business process on either side
- Allow FDA to evaluate how internal tools can support JSON format

➔ **Success Criteria: Demonstrate that Dataset-JSON can transport information with no disruption to business**

## Milestone 2: Development of future strategy

- Evaluate how current and future industry standards can benefit without XPT limitations  
e.g., Variable names > 8, labels > 40, data > 200
- Evaluate combining metadata with data  
e.g., Define-XML / Define-JSON based
- Enhanced conformance rules
- FDA to utilize findings to evaluate tool redevelopment plan to natively consume files in JSON format

➔ **Success Criteria: Demonstrate the viability of Dataset-JSON as the primary transport option**



# Pilot Subteams

1. Pilot Submissions Report
2. The Dataset-JSON Business Case
3. Technical Implementation
4. Strategy for Future Development



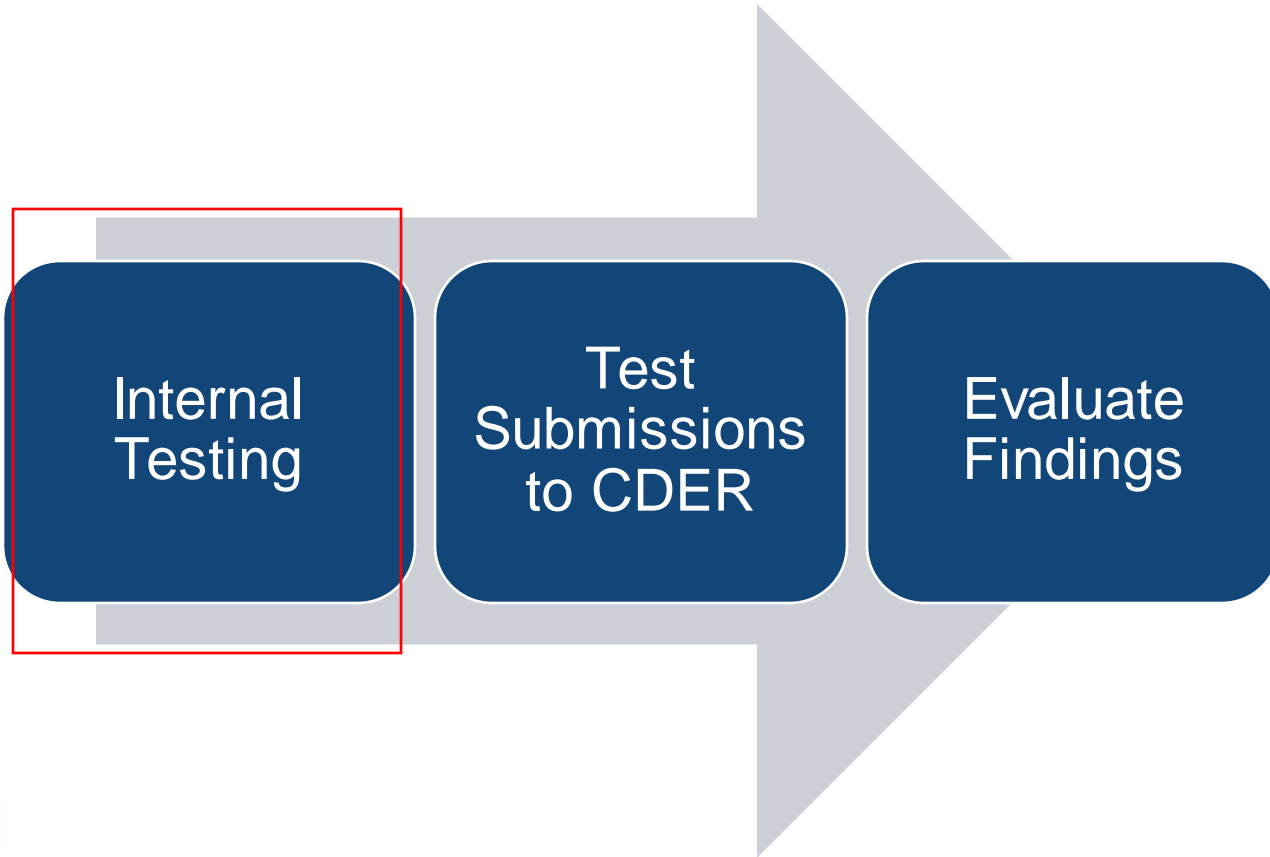
# Dataset-JSON Pilot: Timeline





# Preliminary OCS Pilot Results

# Overall Pilot Strategy



# Pilot Strategy

**Goal:** Confirm data integrity within nonclinical test data submissions

Utilized  
Python  
Hackathon  
Solution

Reviewed  
CDISC  
Dataset-  
JSON  
specifications

Converted  
Datasets from  
XPT to JSON  
and back

Performed  
Validation  
Checks

# Preliminary Results

- Successes:
  - Minimal effort for conversion utilizing Python
  - Data integrity maintained throughout conversions
- Opportunities to improve:
  - JSON files larger than XPT files
  - Date issues identified

# Next Steps

## Internal

- Continue testing with additional study types
- Test additional use cases with clinical datasets

## External

- Receive test submissions from industry and perform testing
- Evaluate findings from test submissions



# Executing the Pilot



# Open-Source Conversion Software Tools



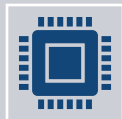
## SAS

The SAS conversion software by Lex Jansen  
Dataset-JSON example files are included in the repository  
Includes a macro for comparing libraries with SAS datasets  
Documentation is included



## R

R conversion package by Atorus Research and Johnson & Johnson  
Documentation is included



## Python

Python conversion software by Pierre Dostie  
Pilot will focus on SAS and R, but any conversion tool can be used



# High-level Process Overview



1. Select the software conversion tool(s) to use (one or more)



2. Select study datasets and convert them to Dataset-JSON



3. Convert Dataset-JSON datasets into a native dataset form



4. Compare the native datasets back to the original format and ensure there are no unexpected changes in converted dataset



5. Optionally, test other conversion scenarios (e.g., interoperability tests)



6. Complete the on-line questionnaire to record your findings

# Example Conversion Scenarios



## Pilot Conversion Scenarios

1. SAS dataset -> Dataset-JSON -> SAS dataset
2. R dataframe -> Dataset-JSON -> R dataframe
3. Dataset-JSON -> native format -> Dataset-JSON

Complete at least 1 scenario from the list above or use your own scenario

# Example Interoperability Scenarios



## Interoperability Conversion Scenarios

1. SAS dataset -> Dataset-JSON -> R dataframe
2. R dataframe -> Dataset-JSON -> SAS dataset

Interoperability scenarios are optional and may be tested in addition to the Pilot Conversion Scenarios. Improved interoperability is a benefit of Dataset-JSON.

# Technical Implementation Observations

## Date representations

- Highlights new interoperability requirements
- Date epochs are different for SAS (1/1/1960) and R (1/1/1970)

## Numbers and precision

- Additional testing and documentation

## Processing large datasets

- Splitting, Streaming, Compression, Partitioning, Paging

## Structural simplifications

- Considering 2 minor structural simplifications

## Minimal effort to build Dataset-JSON software tools

# File Size Observations from Initial Testing

		SAS v5 XPT	Dataset-XML	Dataset-JSON
SDTM	FT	5,917	4,287	858
	LB	2,699	4,104	640
	VS	784	1,372	229
ADaM	ADLBC	33,441	145,575	24,942
	ADQSNPIX	12,840	61,561	8,404
	ADVS	13,313	51,646	8,257

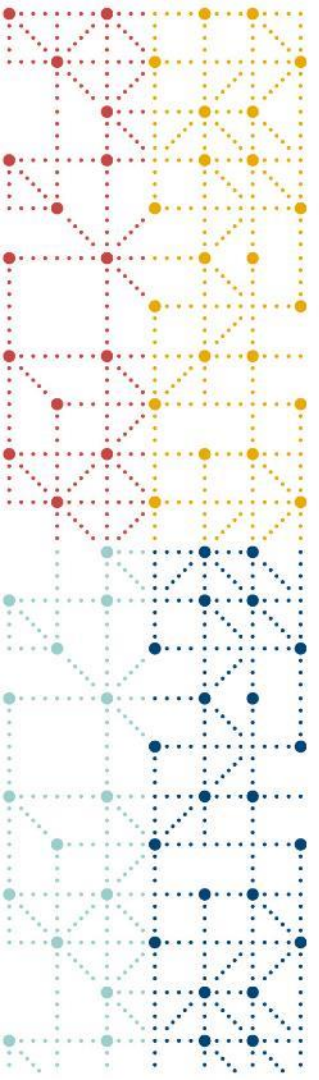
- These are numbers about uncompressed files
- These are exchange formats, not operational formats
- File sizes are in KB
- CDISC pilot datasets were used for the comparison



# Dataset-JSON Hackathon II

- Primary objective: Create a draft REST API specification for Dataset-JSON
- Secondary objective: Proof-of-concept implementations to demonstrate and test the API specification
- Virtual hackathon
  - <https://github.com/cdisc-org/DataExchange-DatasetJson-API>
- Draft API specification will be used as an input to:
  - Dataset-JSON Pilot future strategy and business case teams
  - ODM v2.x API development





# Why you should get involved!

Or what we overheard on the elevator



## PHUSE Business Case Sub-Team Elevator Pitch

Dataset-JSON is a widely accepted data format that will streamline data exchange between organizations. Moving to Dataset-JSON will prepare us for the current digital world and will enable our company to more accurately, completely, and efficiently represent our clinical research data, allowing us to better serve our patients/customers. It supports current data standards but also allows for standards to evolve and removes current limitations imposed by XPT format. Dataset-JSON will save time, hardware cost, increase performance, and is easily transferable between systems. All this will save cost and time.



# Thank You!

Questions?

Interested in Participating?

Jesse Anderson:

- [jesse.anderson@fda.hhs.gov](mailto:jesse.anderson@fda.hhs.gov)

Sam Hume:

- [shume@cdisc.org](mailto:shume@cdisc.org)



# Open-Source Conversion Software Tools

- SAS

- <https://github.com/lexjansen/dataset-json-sas>
- The SAS conversion software by Lex Jansen
- Dataset-JSON example files are included in the repository
- Includes a macro for comparing libraries with SAS datasets
- Includes a Python script for validating Dataset-JSON
- Documentation is included

- R

- <https://github.com/atorus-research/datasetjson>
- <https://atorus-research.github.io/datasetjson/index.html>
- <https://cran.r-project.org/web/packages/datasetjson/index.html>
- R conversion package by Atorus Research and Johnson & Johnson
- Documentation is included

- Python

- <https://github.com/dostiep/Dataset-JSON-Python> ([submit issues](#))
- Python conversion software by Pierre Dostie
- We will not cover the Python tooling in the workshop
- The Dataset-JSON Pilot will focus on SAS and R, but any conversion tool can be used

