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## **Difference of Clinical Trial Result Disclosure Between ClinicalTrials.gov and EudraCT**

Presented by Yuanlin Ma  
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## Meet the Speaker

马原林 Yuanlin MA

**Title:** Senior Statistical Programmer

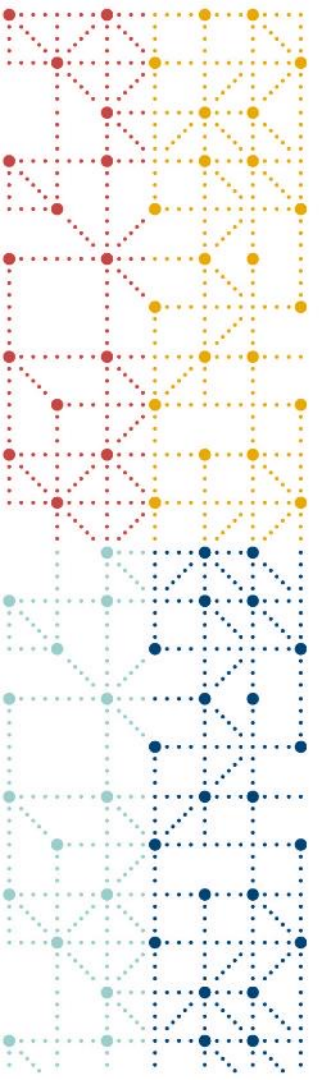
**Organization:** Biostatistics & Programming, SANOFI

Yuanlin Ma, graduated from Sichuan University majoring in Biostatistics and Epidemiology, with 5-years' experience as a Statistical Programmer in Sanofi from 2018 leading data sharing and transparency and innovation development in analytics and reporting tools for submission.



# Disclaimer and Disclosures

- *The views and opinions expressed in this presentation are those of the author(s) and do not necessarily reflect the official policy or position of CDISC.*



## Agenda

1. Clinical Trial Disclosure Overview
2. The Whole Picture of Result Part
3. Difference in Detail
4. Conclusion



# Clinical Trial Disclosure Overview



# What is Clinical Trial Disclosure?

- Begins with registering a clinical trial with governmental registries
- Continues with posting of study protocols and results
- Makes them accessible to public

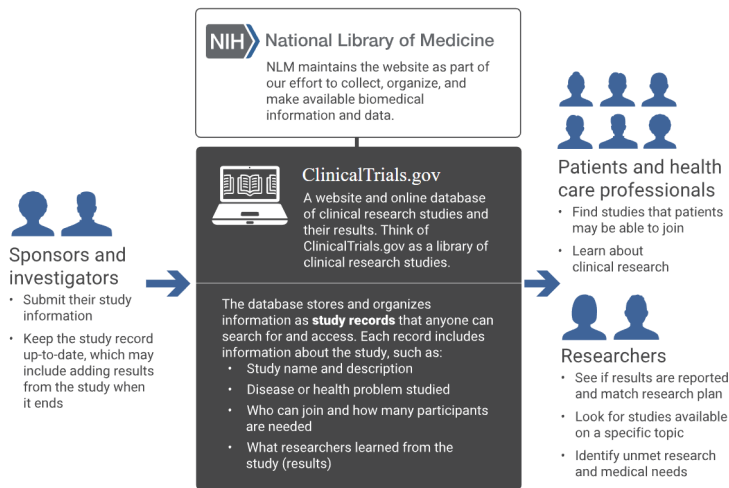
# What is Clinical Trial Disclosure? (cont'd)

- Approximately 90 countries have disclosure requirements on over 30 registries



# What is ClinicalTrials.gov?

What is ClinicalTrials.gov?



## • Scope of Trials Under the US Final Rule

- All applicable clinical trials (ACTs) with a primary completion date on or after January 18, 2017
- Regardless of approval status

### ClinicalTrials.gov

*ClinicalTrials.gov is a service of the National Institutes of Health.*

Checklist for Evaluating Whether a Clinical Trial or Study is an Applicable Clinical Trial (ACT) Under 42 CFR 11.22(b) for Clinical Trials Initiated on or After January 18, 2017<sup>1</sup> (NOT FOR SUBMISSION<sup>2</sup>)

**Instructions:** Answer the following questions to evaluate whether the study is an applicable clinical trial (ACT). Use the accompanying "Elaboration" for additional information to help answer the questions.

Question	Yes	No
1. Is the study interventional (a clinical trial)? <i>Study Type</i> data element is "Interventional"	<input type="checkbox"/>	<input type="checkbox"/>
2. Do ANY of the following apply (is the answer "Yes" to at least one of the following sub-questions: 2a, 2b, OR 2c)?	<input type="checkbox"/>	<input type="checkbox"/>
a. Is at least one study facility located in the United States or a U.S. territory? <i>Facility Location – Country</i> data element is "United States," "American Samoa," "Guam," "Northern Mariana Islands," "Puerto Rico," "U.S. Virgin Islands," or other U.S. territory.		
b. Is the study conducted under a U.S. FDA Investigational New Drug application (IND) or Investigational Device Exemption (IDE)? <i>U.S. Food and Drug Administration IND or IDE Number</i> data element is "Yes."		
c. Does the study involve a drug, biological, or device product that is manufactured in and exported from the U.S. (or a U.S. territory) for study in another country? <i>Product Manufactured in and Exported from the U.S.</i> data element is "Yes."		
3. Does the study evaluate at least one drug, biological, or device product regulated by the United States Food and Drug Administration (U.S. FDA)? <i>Studies a U.S. FDA-regulated Device Product</i> data element is "Yes" and/or <i>Studies a U.S. FDA-regulated Drug Product</i> data element is "Yes."	<input type="checkbox"/>	<input type="checkbox"/>
4. Is the study other than a Phase 1 trial of a drug and/or biological product or is the study other than a device feasibility study? For drug product trials, <i>Study Phase</i> data element is NOT "Phase 1" and for device product trials, <i>Primary Purpose</i> is NOT "Device Feasibility."	<input type="checkbox"/>	<input type="checkbox"/>

If "Yes" is answered to all 4 questions, and the study was initiated on or after January 18, 2017, the trial would meet the definition of an ACT that is required to be registered under 42 CFR 11.22.




# What is ClinicalTrials.gov? (cont'd)

- More than 460,000 studies in this database

ClinicalTrials.gov About This Site Data About Studies Study Basics PRS Info

ClinicalTrials.gov is a place to learn about clinical studies from around the world.



The U.S. government does not review or approve the safety and science of all studies listed on this website.  
Read our full [disclaimer](#) for details.

**Focus Your Search** (all filters optional)

**Condition or disease**

**Other terms**

**Intervention/Treatment**

**Location**  
Search by address, city, state, or country and select from the dropdown list

**Study Status**

All studies  
 Recruiting and not yet recruiting studies

**More Filters**

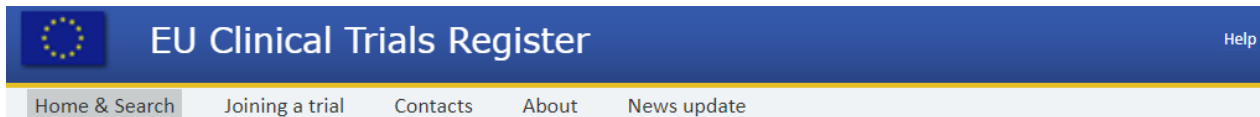


# What is EudraCT?

EudraCT (European Union Drug Regulating Authorities Clinical Trials Database) is the database for all interventional clinical trials of medicinal products submitted to the European Union (EU)/European Economic Area (EEA)

- Scope of Trials
  - All Interventional Clinical Trials of Medicinal Products conducted in EU
  - Part of an EU Pediatric Investigation Plan (PIP)
- As of 31 January 2023, all initial clinical trial applications in the European Union (EU)/European Economic Area (EEA) must be submitted through the Clinical Trials Information System

# What is EudraCT? (cont'd)



## Clinical trials

The [European Union Clinical Trials Register](#) allows you to search for protocol and results information on:

- interventional clinical trials that were approved in the European Union (EU)/European Economic Area (EEA) under the Clinical Trials Directive 2001/20/EC
- clinical trials conducted outside the EU/EEA that are linked to European paediatric-medicine development

EU/EEA interventional clinical trials approved under or transitioned to the Clinical Trial Regulation 536/2014 are publicly accessible through the [Clinical Trials Information System \(CTIS\)](#).

The EU Clinical Trials Register currently displays **43635** clinical trials with a EudraCT protocol, of which **7225** are clinical trials conducted with subjects less than 18 years old. The register also displays information on **18700** older paediatric trials (in scope of Article 45 of the Paediatric Regulation (EC) No 1901/2006).

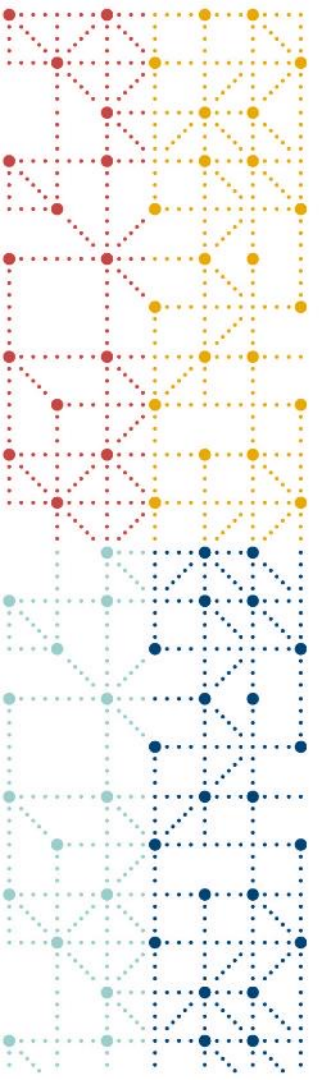
Phase 1 trials conducted solely on adults and that are not part of an agreed paediatric investigation plan (PIP) are not publicly available (see [Frequently Asked Questions](#)).

 X 

Examples: Cancer AND drug name. Pneumonia AND sponsor name.

[How to search \[pdf\]](#)

Advanced Search: [Search tools](#)



## The Whole Picture of Result Part

# Results Data Element

Content	ClinicalTrials.gov	EudraCT
Trial Information		√
Participant Flow / Subject Disposition	√	√
Baseline Characteristics	√	√
Outcome Measures / End Points	√	√
Adverse Event Information	√	√
Limitations and Caveats	√	√
Certain Agreements	√	
Results Point of Contact	√	√
Document Upload Information	√	
More Information		√

# Result Disclosure Timeline

- ClinicalTrials.gov: No later than 12 months after primary completion date
  - Primary completion date refer to completion date.

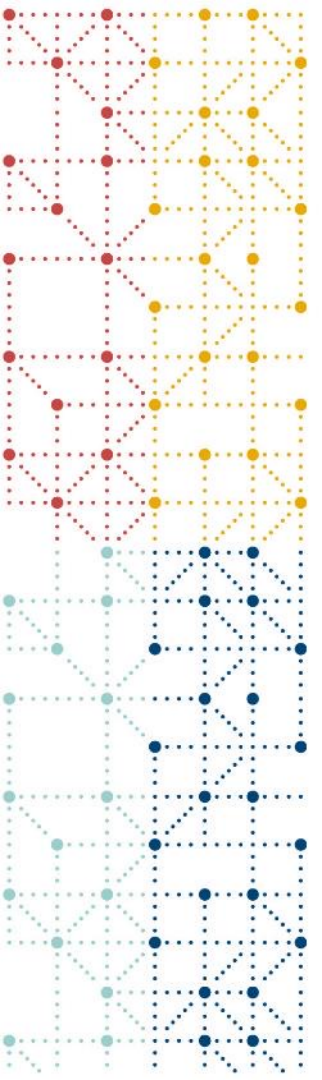
## COMPLETION DATE

In the NPRM, we defined “completion date” in § 11.10(a) to mean “for a clinical trial, the date that the final subject was examined or received an intervention for the purposes of final collection of data for the primary outcome, whether the clinical trial concluded according to the pre-specified protocol or was terminated.

In the case of clinical trials with more than one primary outcome measure with different completion dates, this term refers to the date upon which data collection is completed for all of the primary outcomes.”

- EudraCT
  - Non-pediatric trials: No later than 12 months from the end of trial date
  - Pediatric trials: No later than 6 months from the end of trial date

a clear and unambiguous definition of the end of the trial in question. In most cases this will be the date of the last visit of the last patient undergoing the trial. Any exceptions to this should be justified in the protocol; and



## Difference in Detail

# Different Part: Trial Information in EudraCT

## From Protocol Info:

Trial identification

Additional study  
identifiers

Sponsor details

General  
information about  
trial

## Specific Info:

Results analysis  
stage

Pediatric  
regulatory details

Population of trial  
subjects

Age group  
breakdown for  
trial



# Different Part: Additional Information

- EudraCT:

Section	EudraCT UI (user interface) field name
<b>Substantial protocol</b>	
	Were there any global substantial amendments to the protocol?
	Add global substantial protocol amendment
	Amendment date
	Amendment description
<b>Interruptions (globally)</b>	
	Were there any global interruptions to the trial?
	Add global interruption
	Interruption date
	Interruption description
	Restart date
<b>Limitations and caveats</b>	
	Limitations and caveats applicable to this summary of the results
<b>Online references</b>	
	PubMed identifier (PMID)
	N/A

- ClinicalTrials.gov:

- Certain Agreements
- Document Upload Information

# Difference in Participant Flow / Subject Disposition

## Period details

Is this the baseline period

Allocation method

Blinding used

Roles blinded

Blinding implementation details

## Products

IMP name

IMP code

Other names

Route of Administration

Pharmaceutical forms

Dosage and administration details

## Subject joining reasons

Subject joining reason

Number of subjects

# Difference in Baseline Characteristics

- Continuous Variables
  - Mean (SD)
- Categorical Variables
  - Only **frequency** is shown in EudraCT
  - **Frequency and percentage** are shown in ClinicalTrials.gov
  - Age category is specific in EudraCT

## Age Category in EudraCT

In utero

Preterm newborn - gestational age < 37 wk

Newborns (0-27 days)

Infants and toddlers (28 days-23 months)

Children (2-11 years)

Adolescents (12-17 years)

Adults (18-64 years)

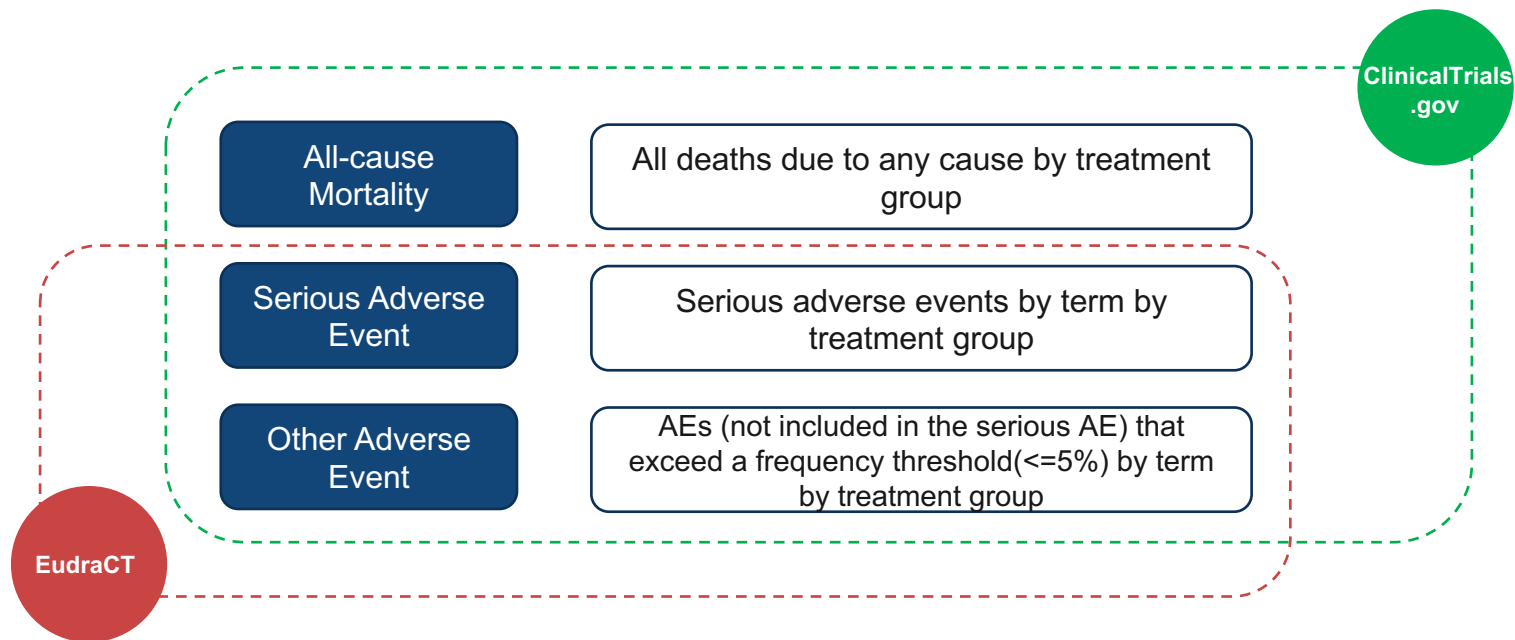
From 65 to 84 years

85 years and over

# Difference in Outcome Measures / End Points

- Most are same
- Except only frequency is shown in EudraCT when frequency and percentage are shown in ClinicalTrials.gov

# Difference in Adverse Events



# Difference in Adverse Events (cont'd)

- Serious Adverse Event

- ClinicalTrials.gov

ALL-CAUSE MORTALITY				
Arm/Group Title	TRT A		TRT B	
	Affected / at Risk (%)		Affected / at Risk (%)	
Total	0/58 (0.00%)		0/59 (0.00%)	

SERIOUS ADVERSE EVENTS				
Arm/Group Title	TRT A		TRT B	
	Affected / at Risk (%)	# Events	Affected / at Risk (%)	# Events
Total	12/58 (20.69%)		8/59 (13.56%)	
<b>Blood and lymphatic system disorders</b>				
Neutropenia <sup>†1</sup>	0/58 (0.00%)	0	2/59 (3.39%)	2

- EudraCT

Serious adverse events	TRT A	TRT B
Total subjects affected by serious adverse events		
subjects affected / exposed	12 / 58 (20.69%)	8 / 59 (13.56%)
number of deaths (all causes)	0	0
number of deaths resulting from adverse events		
Blood and lymphatic system disorders		
Neutropenia		
alternative dictionary used: MedDRA 24.0		
subjects affected / exposed	0 / 58 (0.00%)	2 / 59 (3.39%)
occurrences causally related to treatment / all	0 / 0	2 / 2
deaths causally related to treatment / all	0 / 0	0 / 0

Specific

# Difference in Adverse Events (cont'd)

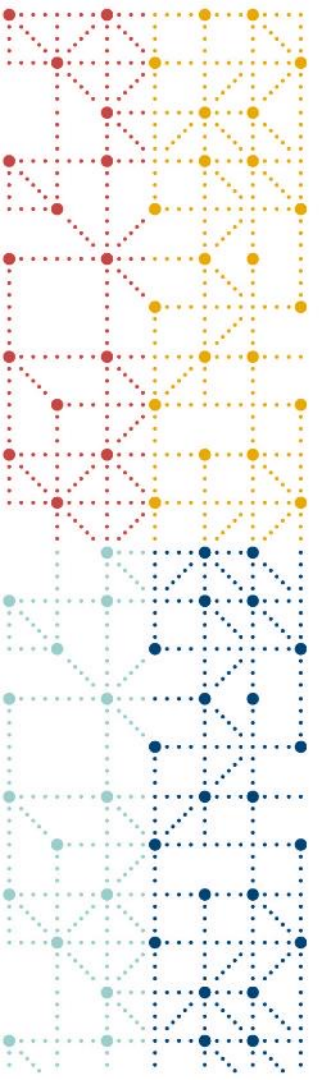
- Other Adverse Event

- ClinicalTrials.gov

OTHER (NOT INCLUDING SERIOUS) ADVERSE EVENTS				
Frequency Threshold for Reporting Other Adverse Events	5%			
Arm/Group Title	TRT A		TRT B	
	Affected / at Risk (%)	# Events	Affected / at Risk (%)	# Events
Total	42/58 (72.41%)		42/59 (71.19%)	
<b>Blood and lymphatic system disorders</b>				
Increased Tendency To Bruise <sup>†1</sup>	4/58 (6.90%)	4	4/59 (6.78%)	4
Leukopenia <sup>†1</sup>	0/58 (0.00%)	0	4/59 (6.78%)	4
Neutropenia <sup>†1</sup>	0/58 (0.00%)	0	7/59 (11.86%)	9

- EudraCT

Frequency threshold for reporting non-serious adverse events: 5%		
Non-serious adverse events	TRT A	TRT B
Total subjects affected by non serious adverse events		
subjects affected / exposed	42 / 58 (72.41%)	42 / 59 (71.19%)
Blood and lymphatic system disorders		
Increased Tendency To Bruise		
alternative dictionary used: MedDRA 24.0		
subjects affected / exposed	4 / 58 (6.90%)	4 / 59 (6.78%)
occurrences all number	4	4
Leukopenia		
alternative dictionary used: MedDRA 24.0		
subjects affected / exposed	0 / 58 (0.00%)	4 / 59 (6.78%)
occurrences all number	0	4
Neutropenia		
alternative dictionary used: MedDRA 24.0		
subjects affected / exposed	0 / 58 (0.00%)	7 / 59 (11.86%)
occurrences all number	0	9



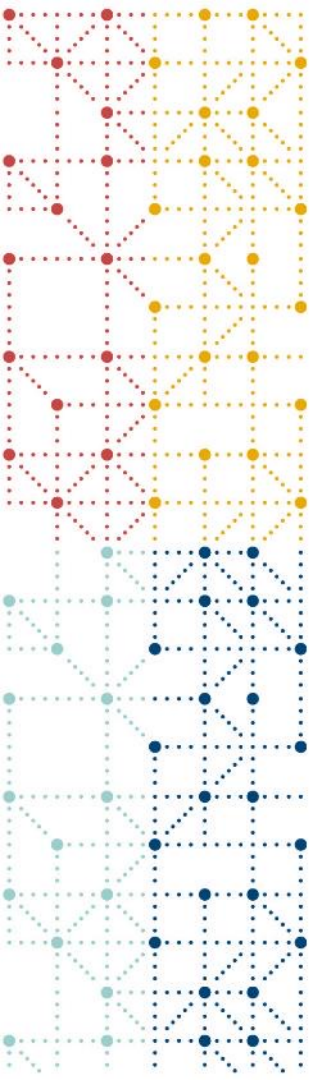
# Conclusion





# Conclusion

- Result disclosure has a positive impact of pharmaceutical industry
- Have a clear understanding of each regulation
- Keep the consistency and distinguish the discrepancy when simultaneous disclosure



**Thank You!**

**cdisc**