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**SDTM and define.xml automation made easy**

Vicky Poulsen, Principal Standards Specialist, Novo Nordisk A/S  
Hanne van Kints, Principal Standards Specialist, Novo Nordisk A/S

# Meet the Speakers

## Vicky Poulsen

**Title:** Principal Standards Specialist

**Organization:** Novo Nordisk A/S

Joined Novo Nordisk A/S in 2015 after being a SAS consultant for a decade in the public health sector specialising in End-to-End BI solutions. She participated in the CDISC Implementation Project shortly after. In her present position, Vicky leads the standard programming strategy and development efforts that drive the SDTM Generation Framework for SDTM and define.xml automation.

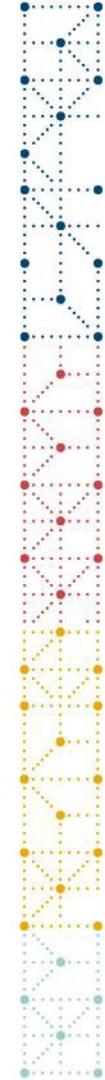
## Hanne van Kints

**Title:** Principal Standards Specialist

**Organization:** Novo Nordisk A/S

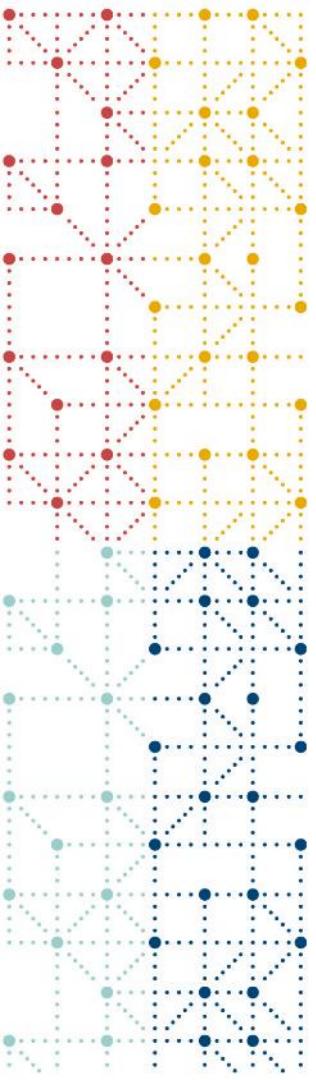
Hanne van Kints has more than 30 years' experience within the pharmaceutical industry and data management. She has worked across all areas within data management and has participated in several IT and business improvement projects. She is supporting end-to-end standardisation, CDISC SDTM implementation, use of DMW and other CDMS systems.





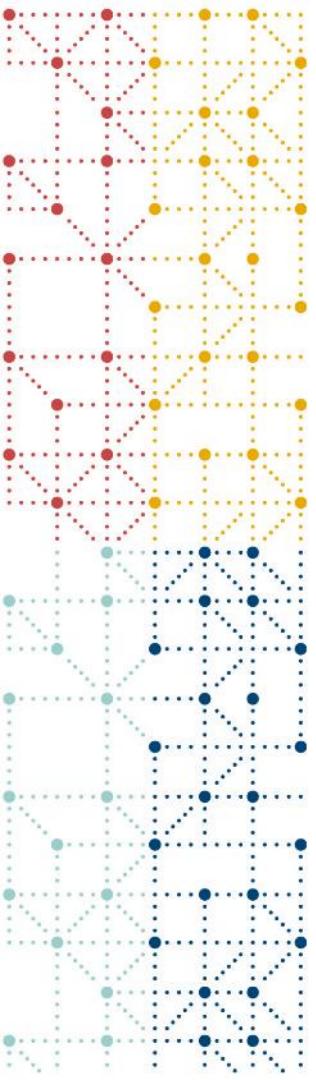
# Disclaimer and Disclosures

- *The views and opinions expressed in this presentation are those of the authors' and do not necessarily reflect the official policy or position of CDISC/Novo Nordisk A/S.*



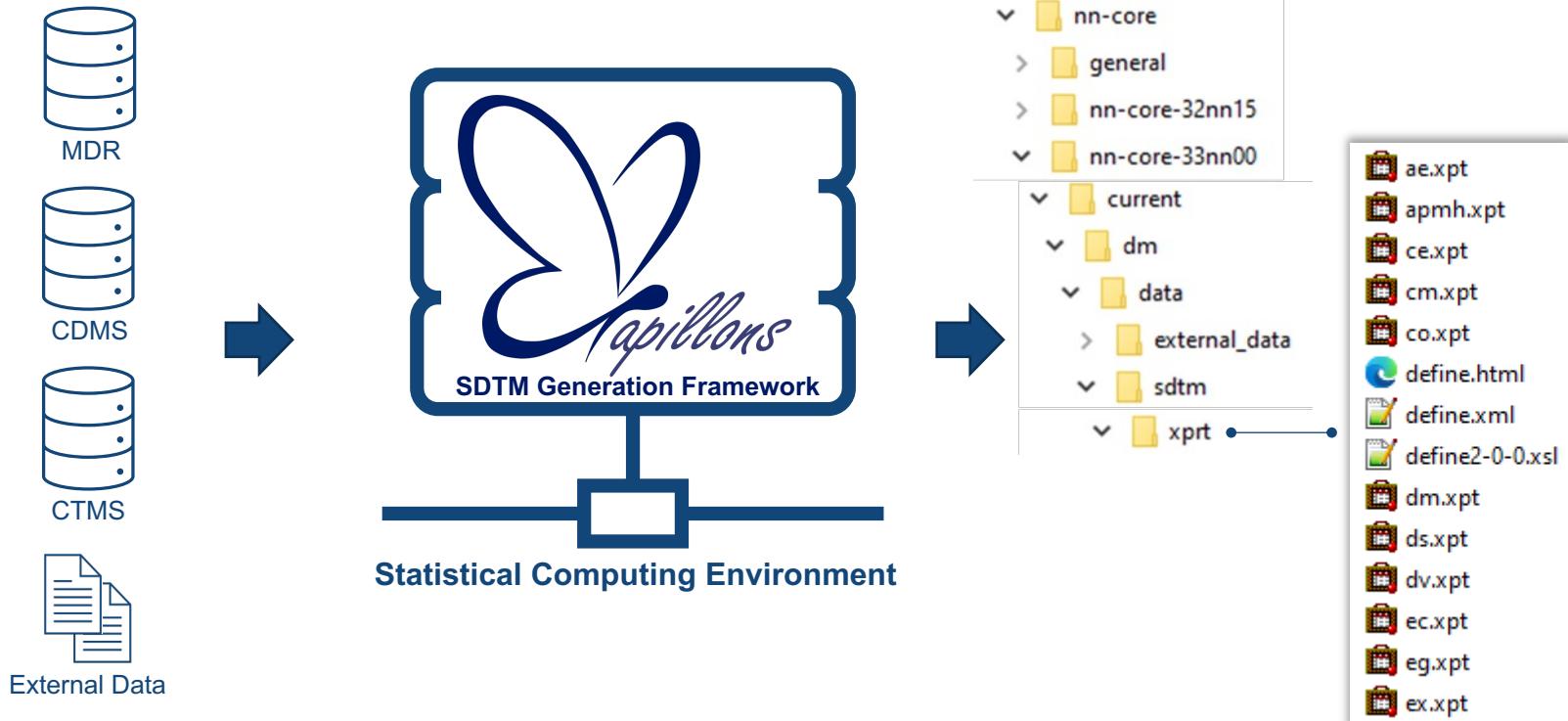
# Agenda

1. Introduction
2. Metadata/Data-driven Approach
3. SDTM Generation Framework
4. Standards Governance
5. Conclusion and Outlook

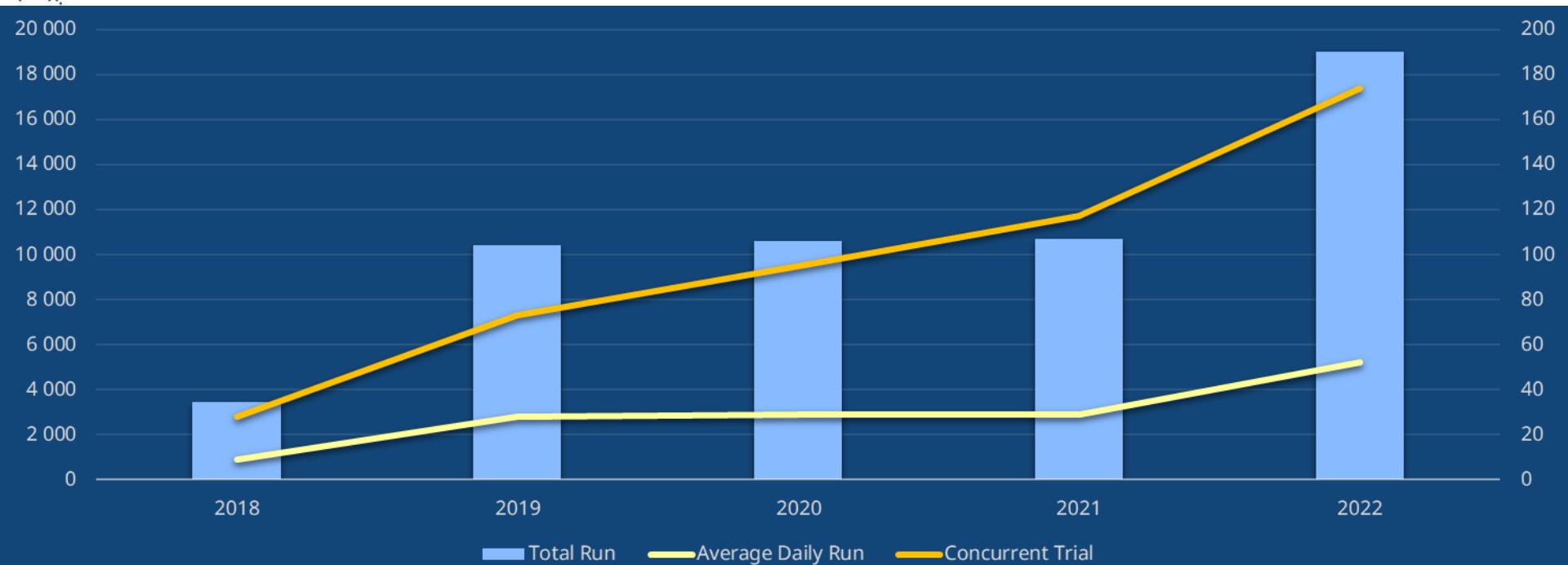


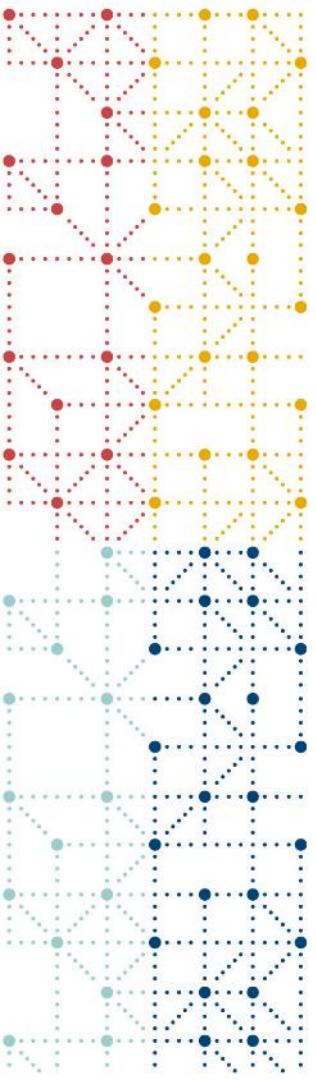
# Introduction

# Automated SDTM and define.xml Generation



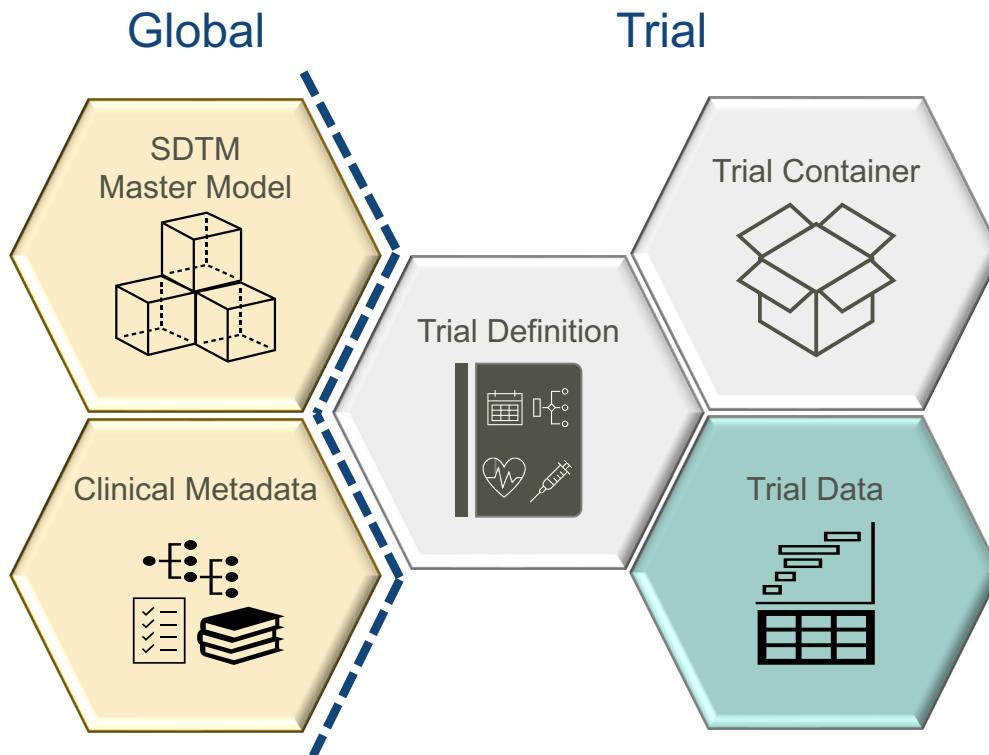
# Implementation Timeline





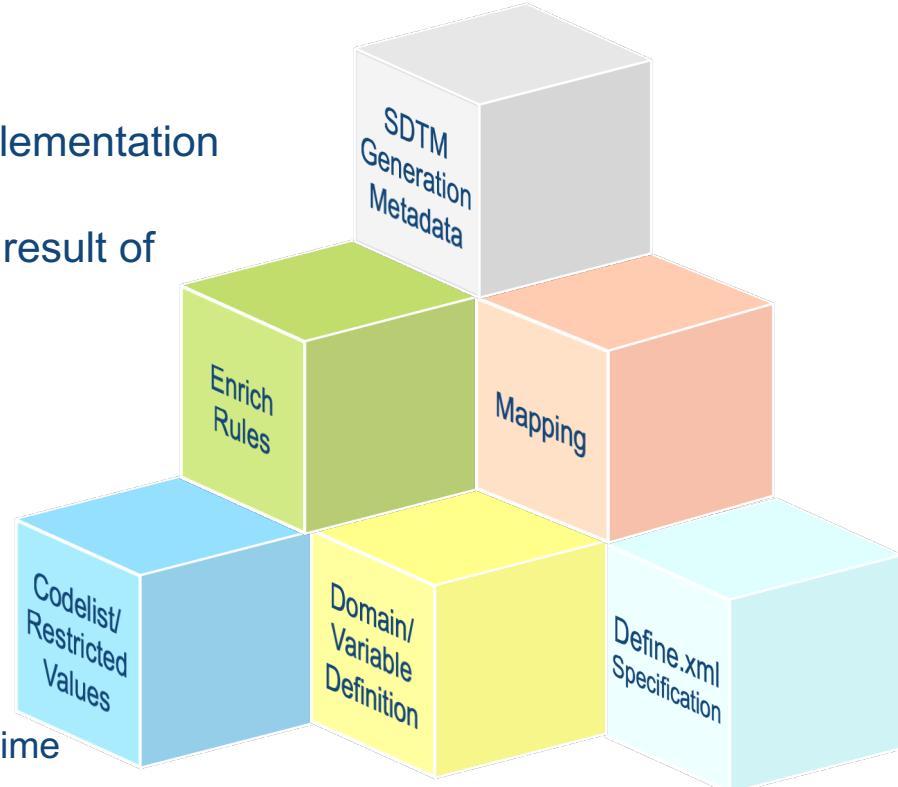
# Metadata/Data-driven Approach

# Metadata/Data-driven Approach



# SDTM Master Model

- NN's approach to standardise SDTM implementation per SDTMIG version
- Contain maximum allowed contents as a result of thorough end-to-end assessment
  - CDISC compliance
  - NN specific implementation
    - Custom domains
    - Non-Standard Variables (NSV)
  - Authorities' requirements
  - Traceability
  - Operability
- Version-controlled
  - Changes in requirements and usage over time
  - Reproducibility



# SDTM Master Model: Domain Definition

basic_st	Table	Label	Keys	SortKeys	map_domain_fi	suppl_qual_fi	include_in_raw	gen_raw_seqno_fi	extended_doma	enrich_build_order
Y	DS	Disposition	STUDYID USUBJID DSSTDTC DSDECOD DSTERM	STUDYID USUBJID DSSTDTC DSTDTC DSDECOD DSTERM	Y	Y	Y	Y		120
Y	LB	Laboratory Test Results	STUDYID USUBJID LBTESTCD LBSPEC VISITNUM LBTPNUM LBTPTREF		Y	Y	Y	Y	XL	250
Y	SE	Subject Elements	STUDYID USUBJID SESTDTC SEENDTC ETCD							50
	ZA	Event Adjudication Results	STUDYID USUBJID ZATESTCD ZAOBJ VISITNUM ZATPNUM ZATPTREF		Y	Y	Y	Y		460

- Keys
- SortKeys
- Enable CDMS mapping
- Include in RAW SDTM generation

- Supplemental Qualifiers dataset
- Extended domain
- Build order in Enriched SDTM Generation

# SDTM Master Model: Variable Definition

basic_s	table	column	order	xmlcodelist	xmlcodelist_multi	origin	term	algorithm	map_var_flag	fixed_mapping	include_in_raw	nn_interne	
	APDM	SEX	190	SEX		Assigned	(SEX)		Y		Y		
	APDM	RACE	200	RACE			(RACE)		Y		Y		
	APDM	ETHNIC	210	ETHNIC		CRF	(ETHNIC)		Y		Y		
	APDM	COUNTRY	220	COUNTRY		Assigned	ISO 3166						
	DM	ON_GRP	10							src.src_random_grp	Y		
Y	DM	STUDYID	20			Assigned		*		src.trl_id	Y		
Y	DM	DOMAIN	30			Assigned	DM	*			Y		

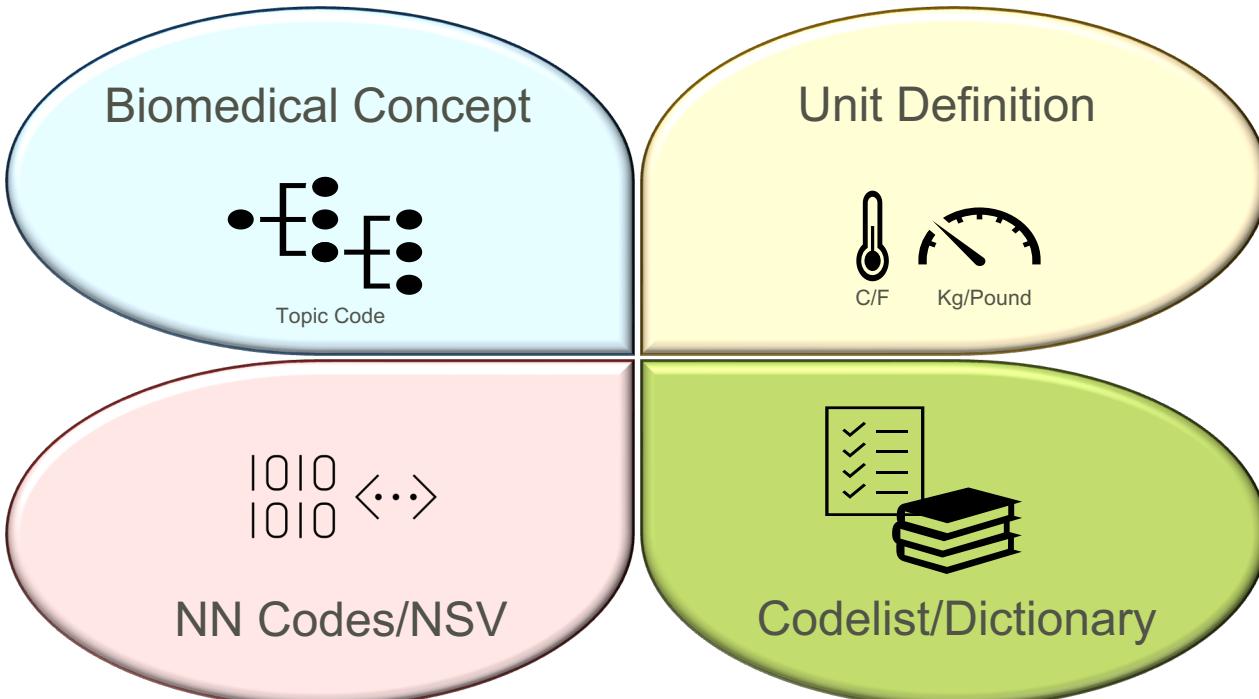
  

basic_s	table	column	value_lv1_where_col	value_lv1_label_col	value_lv1_collect_ct_val	value_lv1_ct_codelist_id_col	enrich_build_order	enrich_rule	xmlcodelistvalue				
Y	DM	RFXENDTC					10	sdtme_dm_rfnts_v\$					
Y	DM	RFICDTC					10	sdtme_dm_rfnts_v\$					
Y	DM	RFPENDTC					10	sdtme_dm_rfnts_v\$					
Y	DM	DTHDTC					40	sdtme_dm_dth_v\$					
Y	DM	DTHFL					40	sdtme_dm_dth_v\$					
Y	DM	SITEID											
Y	DM	INVID											

**SDTM Standard Program**

- Order
- Codelist/restricted values
- Origin
- Algorithm
- Comment
- Enable CDMS mapping
- Include in RAW SDTM generation
- Value-level Metadata
- Enrich Rule

# Global Clinical Metadata



# Trial Definition

A Collection of Trial Metadata

- Flowchart
- Trial Attributes
- Trial Population
- Trial Design
  - Arm
  - Element
  - Epoch
- Trial Summary Parameters
- Intervention: Compound
- Visit structure

Protocol Approval

Early Trial Setup



v1

Main



v2

Trial Conduct

DBL



v3

CSR



v4

Submission

- Version control: Trial Metadata Version

Project	Trial ID	Trial Definition ID	Trial Metadata Version	Status
NN-CORE-LIBRARY	Library	1		Locked
NN-CORE-LIBRARY	Library	2		Locked
NN-CORE-LIBRARY	Library	3		Locked
NN-CORE-LIBRARY	Library	4		Locked
NN-CORE-LIBRARY	Library	5		Released

- Lock Trial Metadata Version at key milestones for traceability e.g. Protocol Approval, DBL

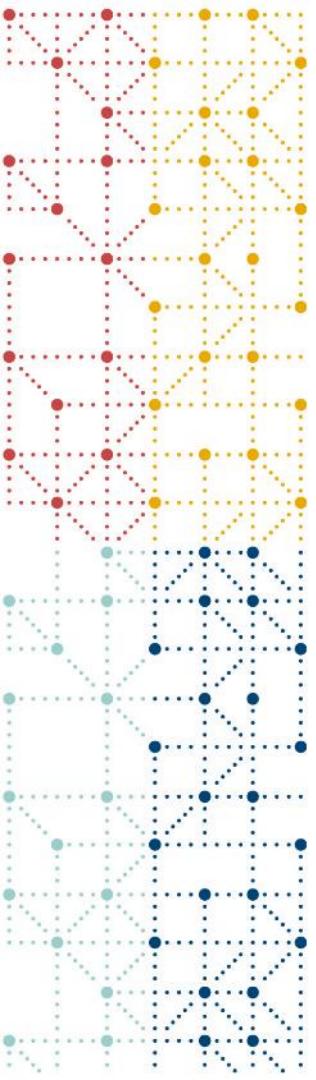
# Trial Container

- Trial Container holds **trial-level operational metadata** required for **transfer of clinical data/trial metadata from CDMS/MDR to SCE** for automated SDTM and define.xml generation

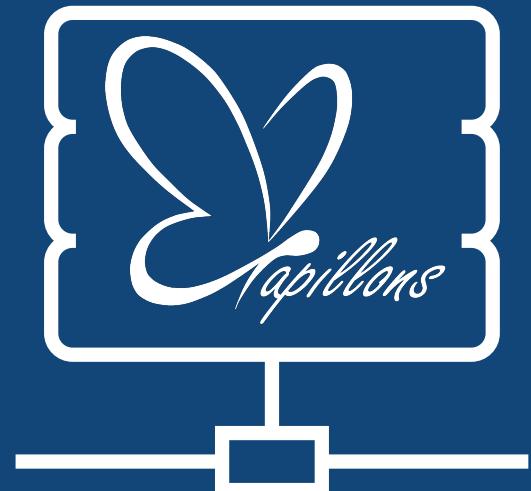
The screenshot shows the CDW Operations interface with the following details:

- Schedule Trial Container** (Left):
  - Trial Container: NN-CORE-33NN01
  - Transfer Frequency: Monthly (highlighted)
  - Enable Transfer: Y
  - Transfer Time Zone: IO
  - Transfer Day Number: 1
- CDW Operations** (Top Bar):
  - Metadata, Trial, Manage, Logout
  - Production/V3R2C11/HAVK
  - novo nordisk logo
- Define Trial Container** (Main Form):
  - Scheduling** (1):
    - Trial Container: NN-CORE-33NN01
    - Trial Container Name: NN-CORE-33NN01
    - Trial Container Short Name: DMW
    - CDW Project Folder: CDISC DEV
    - Reporting MedDRA Version: Latest MedDRA Version
    - Reporting WHODRUG Version: WHODrug Global/B3 1 Septembe
    - SDTM CT Version: SDTM\_2022-12-16
    - ADaM CT Version: ADAM\_2022-06-24
  - Controlled Terminology/Dictionary Version** (5):
    - Project Folder contains: nn-core
    - Trial Folder contains: nn-core-33nn00
  - Trial Definition/Trial Metadata Version** (3):

Project	Trial ID	Trial Definition ID	Trial Metadata Version	Status	Use latest version
NN-CORE-LIBRARY	33NN00	2	Released	Yes	
  - Blinding Status** (4):
    - Blinding: Unblinded
    - Trial Cut Off Date: ddMONyyyy
    - Use latest Version:
  - Assign UNIX SCE folders**:
    - Project Folder: nn-core
    - Trial Folder: nn-core-33nn00

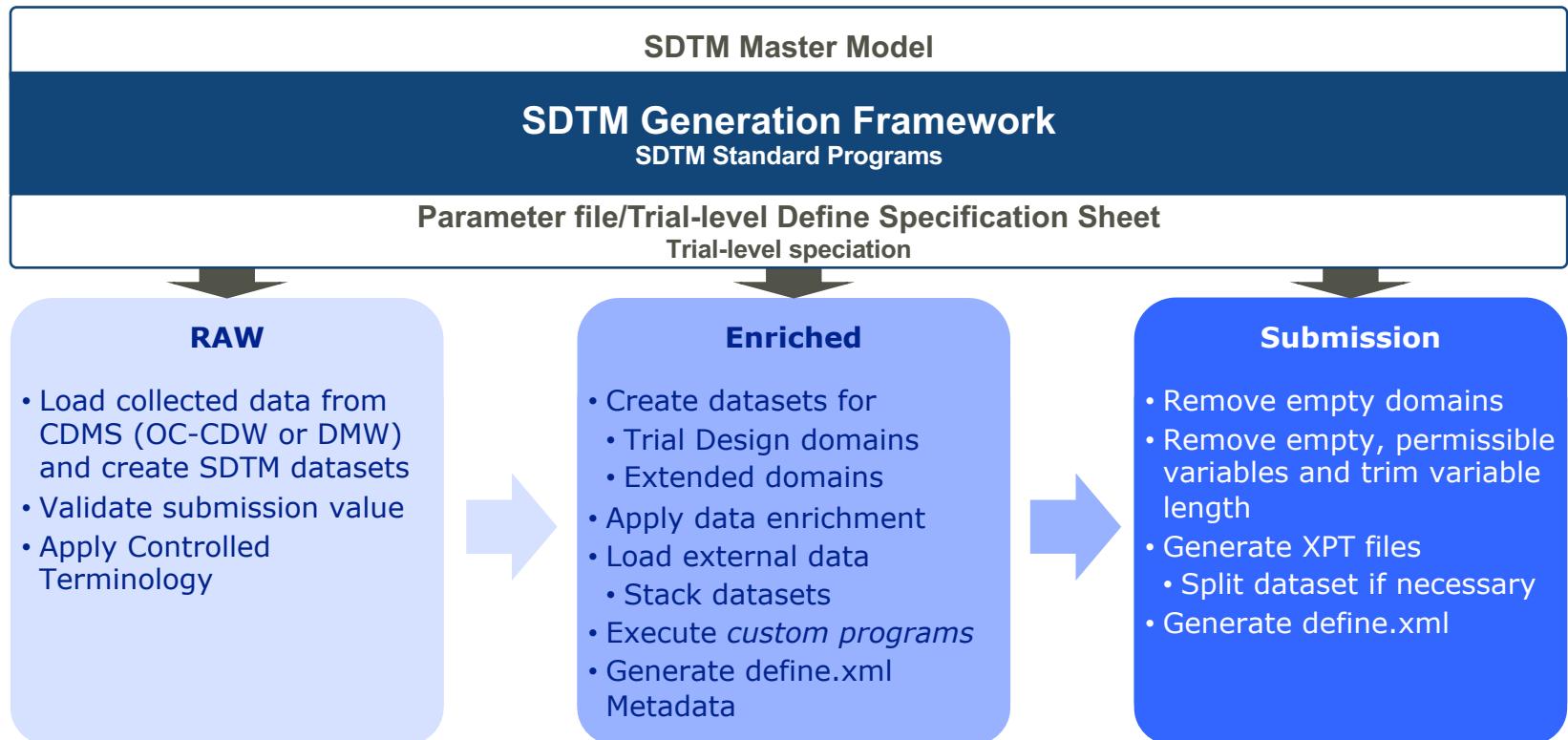


# SDTM Generation Framework



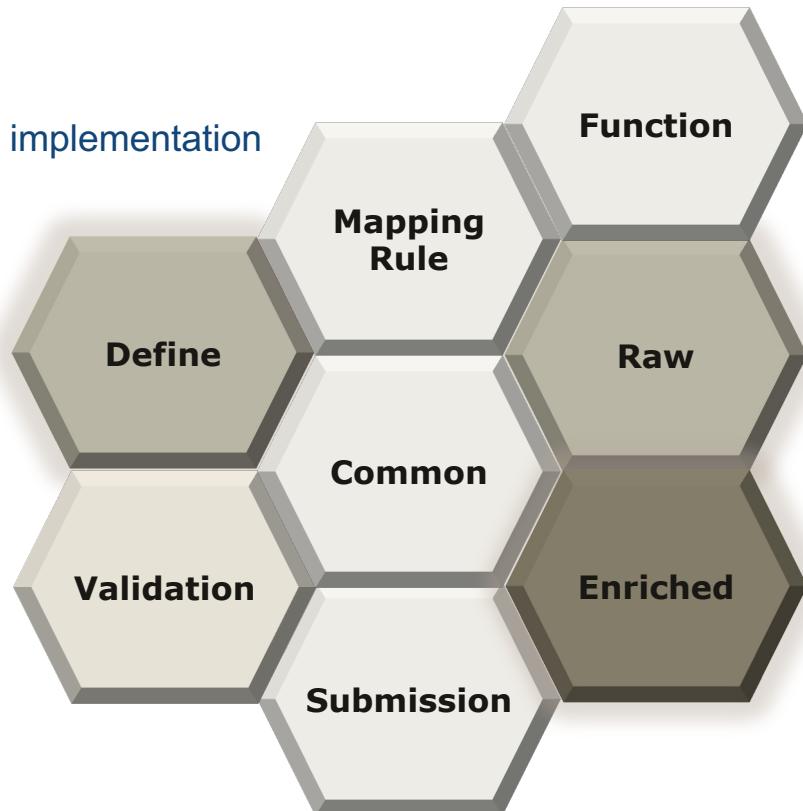
Statistical Computing Environment

# SDTM Generation Stages

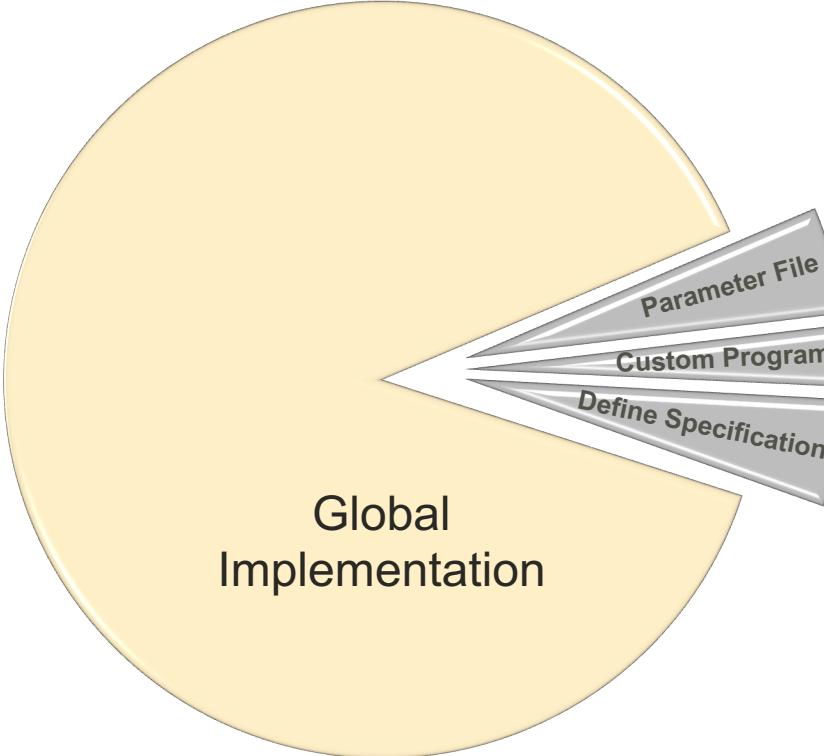


# SDTM Standard Programs

- Component-based Design
  - Governed and maintained centrally for global implementation
- Metadata/Data-driven code automation
  - **SDTM Master Model**
  - Global Clinical Metadata
  - Parameter file
  - Trial-level Define Specification
- Plus:
  - Robust
  - Maximise reusability and flexibility
  - Minimise maintenance
  - Versioning
- Minus:
  - Dependency between components
  - Increased complexity



# Trial-level Leverage



## Parameter File

Controls SDTM Generation

```
# Staged
stage           = Y
enrich          = Y
submit          = N
ccc             = N
ccc             = N
# General parameters, for gmdm_version and sdtaurc_date (DBL date) the value must be in yyymmdd format
gmdm_version   = pparam
sdtaurc_date   = pparam
optional_report = N
optional_report = pparam
# Specify columns to be excluded from DMW data extract remove # when specifying value), use | as separator
#dmw_excludedcol = [param]
# Trial Parameters
# - topicid: default to topicid, do not change/delete
# - lparam_topicid: one per LParam to Topic Code mapping, | as separator
# - minrange: dummy lower reference range
# - maxrange: dummy upper reference range
# - nstartid: start ID for SDTMID mapping, | as separator
topicnode_gmn   = topicid      [param]
lparam_topicid  = [param]
minrange        = [param]
maxrange        = [param]
nstartid        = [param]
# OCV Parameters
#Reference to OCV program version
cl_ocvprogramversion = pparam
# End of parameter file.
```

## Custom Program

Covers trial-specific requirements, such as special trial design

## Define Specification

Table-/column-/value-level adjustments as supplement to SDTM Master Model

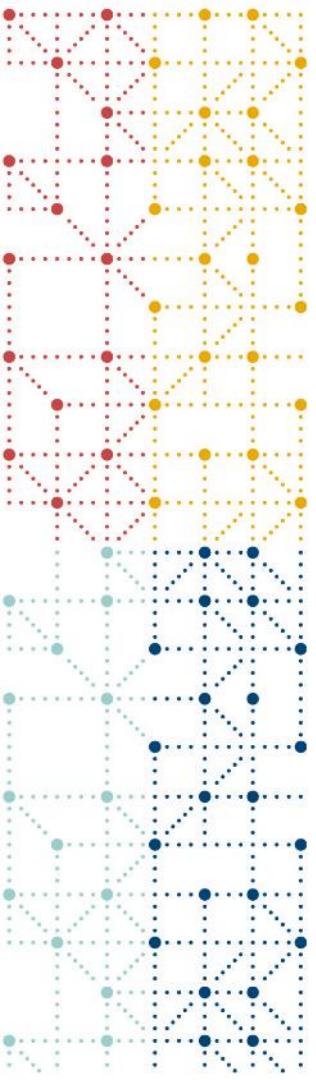
A	B	C	D	E
1	Table	Structure	Keys	SortKeys
2			STUDYID USUBJID LBTESTCD LBSPEC VISITNUM LBTPTNUM LBDTC LBETLM LBSCAT LBCAT LBORIES	limited to hematology, clinical chemistry and urinalysis data. This domain does not include microbiology or pharmacokinetic data, which are
3	XL			
4			STUDYID USUBJID CMRT CMDOSTOT VISITNUM LBTPTNUM LBDTC LBETLM	
5	CM			

A	B	C	D	E	F	G	H
1	table	column	displayformat	xmcdelist	origin	algorithm	comment
2	LB	IDN		Derived	Derived according to that specific metadata.	LBTSTCD LCAT LSPEC	LBTTEST
3		basic				LBTTEST LCAT LSPEC	V
4		derived				LBTTEST	CATEG_C1
5		derived				determ	CATEG_C2
6		LBTRINR	123				

A	B	C	D	E	F	G	H
1	table	column	whereclause	label	xmcdelist	origin	algorithm comment
2	LB	LBORIES	(LBCTEQ "GLUCOSE METABOLISM") and (LBSPEC EQ "BLOOD") and (LBTESTCD EQ "HBATC")	Testing	<>		Algorithm1 Comment1
3	VSRORRES	VSTESTCD	EQ "SYGBP"				
4							
5							



# Standards Governance

# SDTM Master Model Version

**CDW Operations**      **Metadata Management**

Metadata   Trial   Manage   Logout   Production/V3R2C11/HAVK

## Manage SDTM Versions

Import and Manage SDTM Versions

SDTM Version	Status	Import date
3.3-NN01	Active	26okt.2022:01:52:40
3.2-NN15	Active	20apr.2022:08:36:08
3.2-NN14	Active	22mar.2021:08:15:37
3.2-NN13	Retired	04sep.2020:08:29:19
3.2-NN12	Retired	15maj2020:08:00:40
3.2-NN11	Retired	23okt.2019:08:22
3.2-NN10	Retired	25apr.2019:07:21
3.2-NN09	Retired	14mar.2019:05:11
3.2-NN08	Retired	14jan.2019:10:11
3.2-NN07	Retired	14nov.2018:08:51
3.2-NN06	Retired	07nov.2018:01:51
3.2-NN05	Retired	16aug.2018:09:11
3.2-NN04	Retired	13jun.2018:08:41
3.2-NN03	Retired	08feb.2018:06:21
3.2-NN02	Retired	26jan.2018:12:41
3.2-NN01	Retired	26sep.2017:10:21

Import   Activate

**CDW Operations**      **Source Data Mapping**

Trial Definitions   Sites&Investigators   Mappings   Logout

## Edit Trial Definition

Supply Trial Definition Attributes

Trial Def. Type: **Library**

Trial Def. ID: **33NN00**

Trial ID: **NN-CORE-LIBRARY**

Locked:

SDTM Version: **3.3-NN01**

Trial Def. Purpose:

- 3.3-NN01**
- 3.2-NN15
- 3.2-NN14

# CDISC Controlled Terminology Version

**CDW Operations**      Metadata Management

Metadata Trial Manage Logout Production/V3R2C11/HAVK novo nordisk

## CDISC Controlled Terminology Overview

Import and manage CDISC CT packages

Filter packages

Package Scope: SDTM    Package Name contains:

Created Date	Package Scope	Package Name	Status	CDISC CT Scope	CDISC CT Version
2016-09-26	SDTM	SDTM_2013-04-12	Active	QS	2013-04-12
2017-06-23	SDTM	SDTM_2013-12-20	Active	SDTM	2013-12-20
2017-07-28	SDTM	SDTM_2014-03-28	Active	SDTM	2014-03-28
2017-07-28	SDTM	SDTM_2015-03-27	Active	COA	2015-03-27
2017-07-28	SDTM	SDTM_2016-03-25	Active	SDTM	2016-03-25
2017-07-31	SDTM	SDTM_2017-03-31	Active	SDTM	2017-03-31
2017-07-31	SDTM	SDTM_2017-06-30	Active	SDTM	2017-06-30
2018-06-11	SDTM	SDTM_2018-03-30	Active	SDTM	2018-03-30
2018-09-05	SDTM	SDTM_2018-06-29	Active	SDTM	2018-06-29
2018-10-24	SDTM	SDTM_2018-09-28	Active	SDTM	2018-09-28
2019-05-02	SDTM	SDTM_2018-12-21	Active	SDTM	2018-12-21
2019-11-05	SDTM	SDTM_2019-03-29	Active	SDTM	2019-03-29
2019-11-05	SDTM	SDTM_2019-06-28	Active	SDTM	2019-06-28
2019-11-05	SDTM	SDTM_2019-09-27	Active	SDTM	2019-09-27
2020-01-21	SDTM	SDTM_2019-12-20	Active	SDTM	2019-12-20
2020-07-16	SDTM	SDTM_2020-03-27	Active	SDTM	2020-03-27
2020-08-27	SDTM	SDTM_2020-06-26	Active	SDTM	2020-06-26
2021-01-21	SDTM	SDTM_2020-12-18	Active	SDTM	2020-12-18
2021-05-10	SDTM	SDTM_2021-03-26	Active	SDTM	2021-03-26
2021-11-22	SDTM	SDTM_2021-06-25	Active	SDTM	2021-06-25
2022-02-18	SDTM	SDTM_2021-09-24	Active	SDTM	2021-09-24
2022-08-26	SDTM	SDTM_2021-12-17	Active	SDTM	2021-12-17
2022-10-13	SDTM	SDTM_2022-03-25	Active	SDTM	2022-03-25
2023-01-17	SDTM	SDTM_2022-06-24	Active	SDTM	2022-06-24
2023-01-31	SDTM	SDTM_2022-09-30	Active	SDTM	2022-09-30
2023-02-13	SDTM	SDTM_2022-12-16	Active	SDTM	2022-12-16

## Define Trial Container

Trial Container:

Trial Container Name:

Trial Container Short Name:

CDW Project Folder:

Reporting MedDRA Version:

Reporting WHODRUG Version:

SDTM CT Version:

ADaM CT Version:

SDTM_2013-04-12
SDTM_2013-12-20
SDTM_2014-03-28
SDTM_2015-03-27
SDTM_2016-03-25
SDTM_2017-03-31
SDTM_2017-06-30
SDTM_2018-03-30
SDTM_2018-06-29
SDTM_2018-09-28
SDTM_2018-12-21
SDTM_2019-03-29
SDTM_2019-06-28
SDTM_2019-09-27
SDTM_2019-12-20
SDTM_2020-03-27
SDTM_2020-06-26
SDTM_2020-12-18
SDTM_2021-03-26
SDTM_2021-06-25
SDTM_2021-09-24
SDTM_2021-12-17
SDTM_2022-03-25
SDTM_2022-06-24
SDTM_2022-09-30
<b>SDTM_2022-12-16</b>
ADAM_2022-06-24

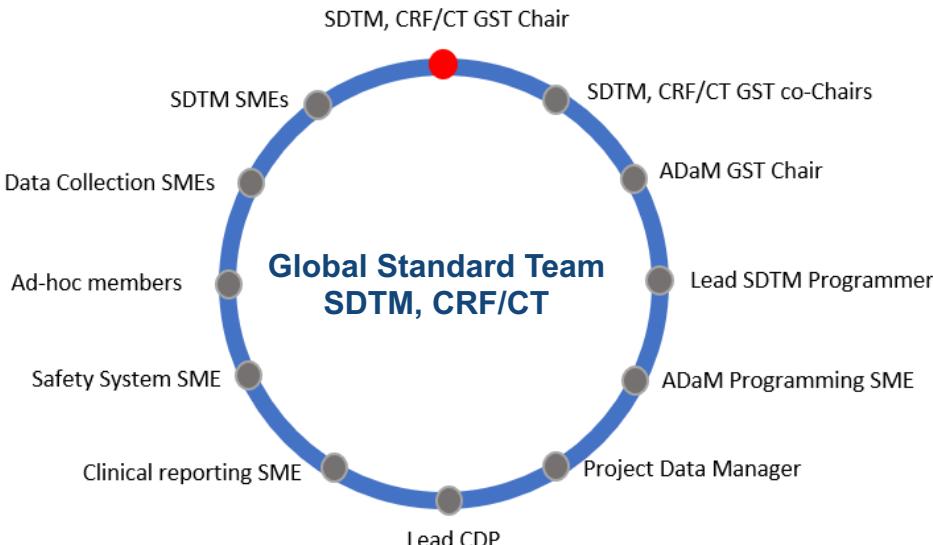
# Centralised Governance

QRS

Global Template

Data Collection Standards

Controlled Terminology



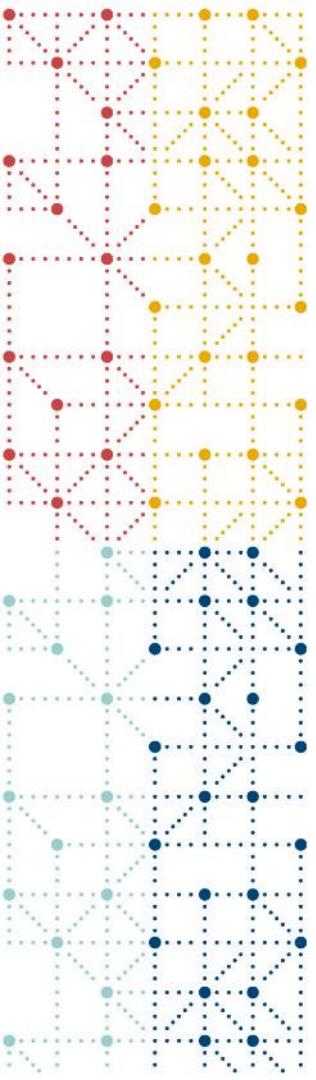
QNAM/CAT

Master Model

Device/New Data Sources and Types

Validation

Programming



## Conclusion and Outlook

# SDTM and define.xml automation made easy

- Mature Metadata Repository
- Centralised governance
- High level of standardisation
  - Data collection
  - EDC-CDMS Mapping
  - Trial Metadata Setup
  - SDTM Generation Framework
- End-to-end mindset
- Focus on global implementation while allowing for trial-specific adjustments in a controlled manner
- Strive for continuous improvement

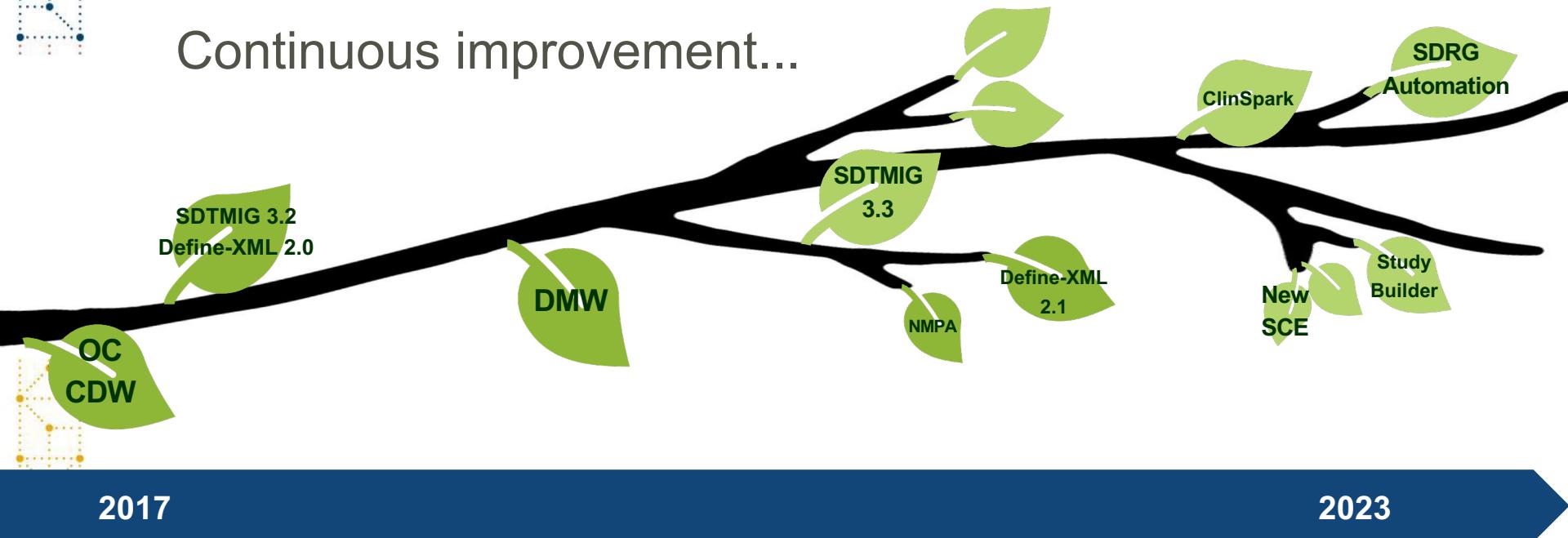


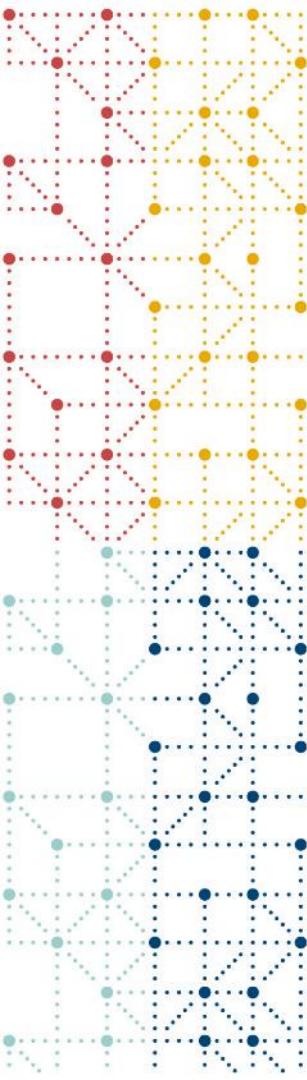


# Outlook

...embrace changes

Continuous improvement...





# Thank You!

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