The TMF Reference Model
General Meeting 13-Oct-2022

Presenters:

- Mary Emanoil, Head TMF & Registry Operations, Pfizer, TMF RM SC Member
- Karen Roy, Chief Strategy Officer, Phlexglobal; Chair, TMF Reference Model Steering Committee
- Jamie Toth, Global Head, TMF Management & Records, Beigene, TM RM SC Member
- Alison Varjavandi, Director, TMF Management Office, Astellas,TM RM SC Member
- Scott Feiner, Senior Manager, Trial Disclosure, AbbVie

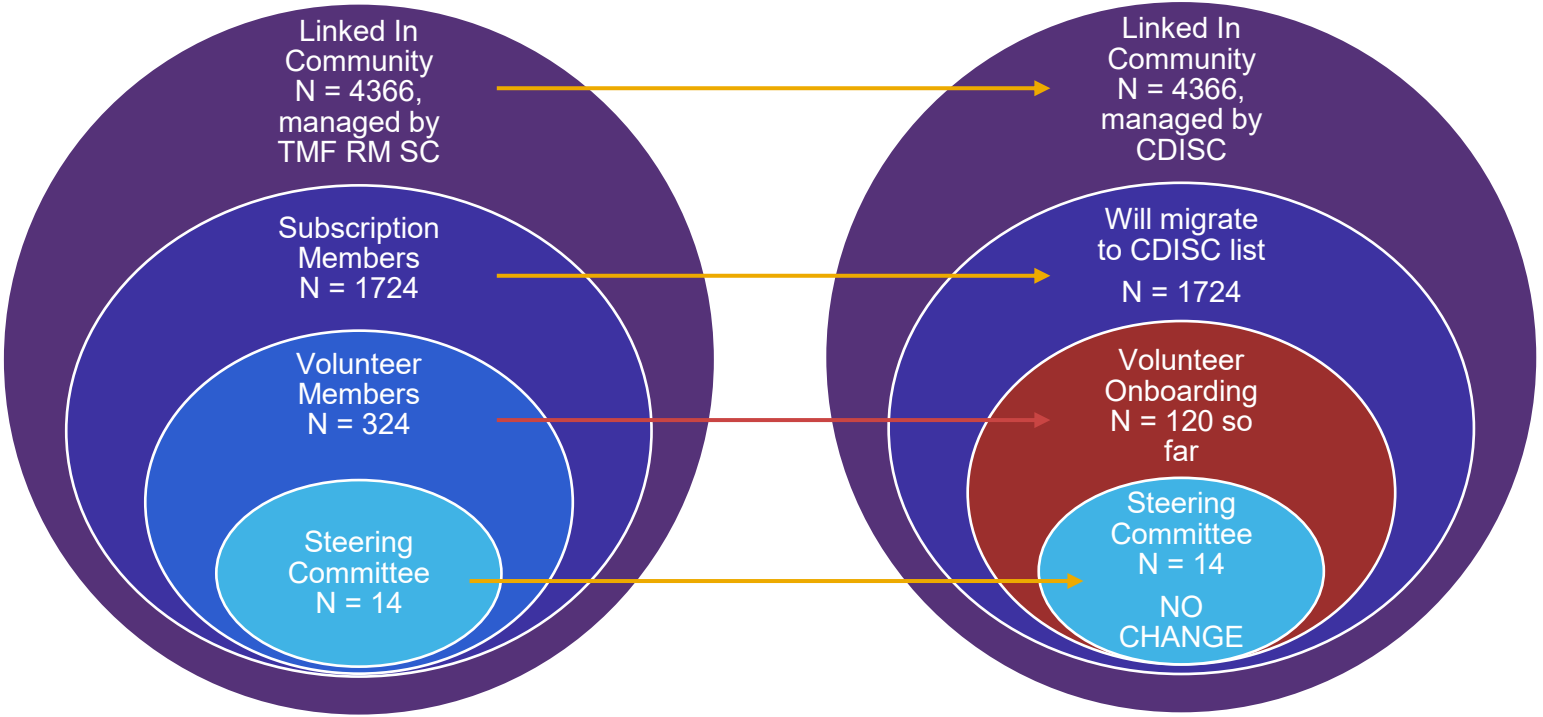
Introductions

Agenda

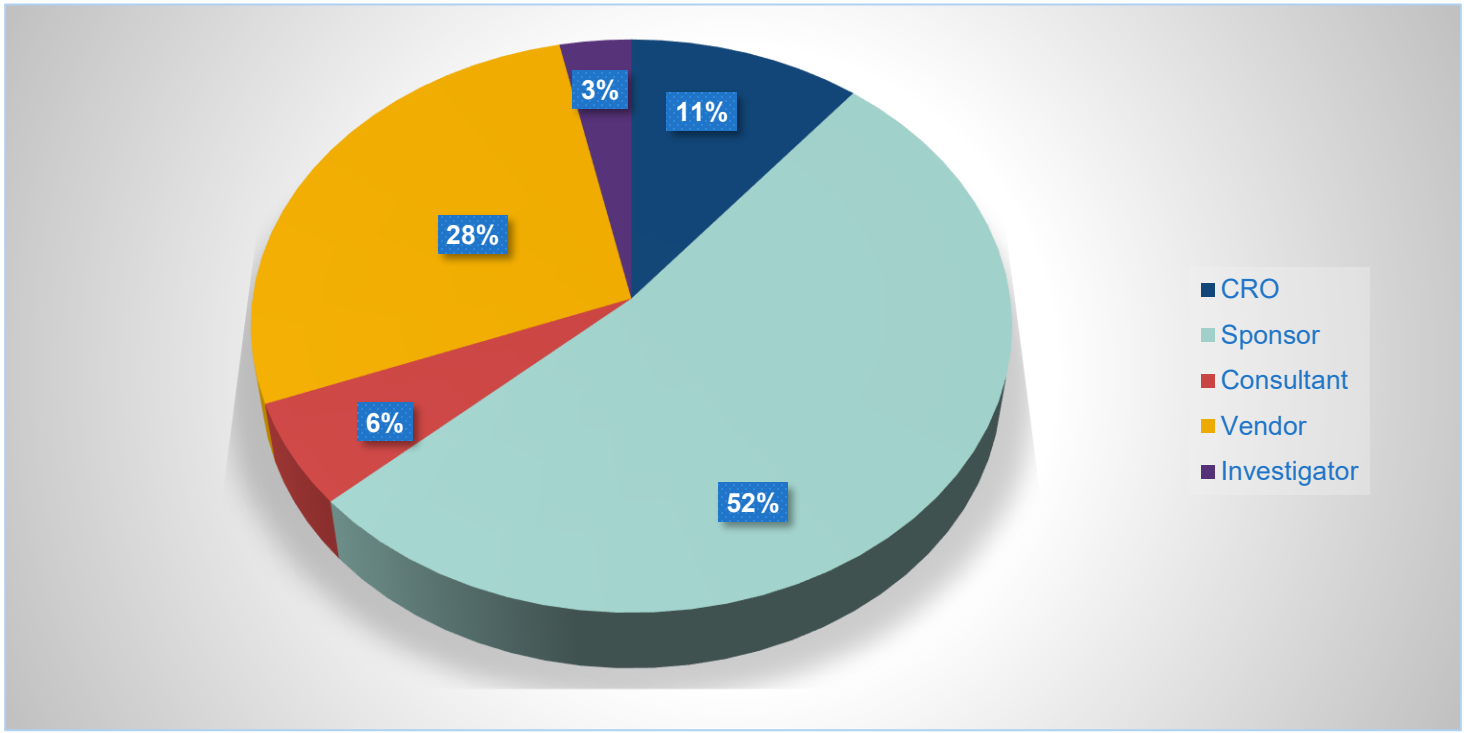
- Intros/Agenda – Mary Emanoil
- Membership Update – Karen Roy
- TMF Management Plan Template Update – Jamie Toth
- 2022 TMF RM Survey Report Out – Allison Varjavandi
- Special Topic: CTIS Update– Scott Feiner, Abbvie
- Upcoming Events: CDISC Interchange, EU TMF Summit – Mary Emanoil

Membership Update

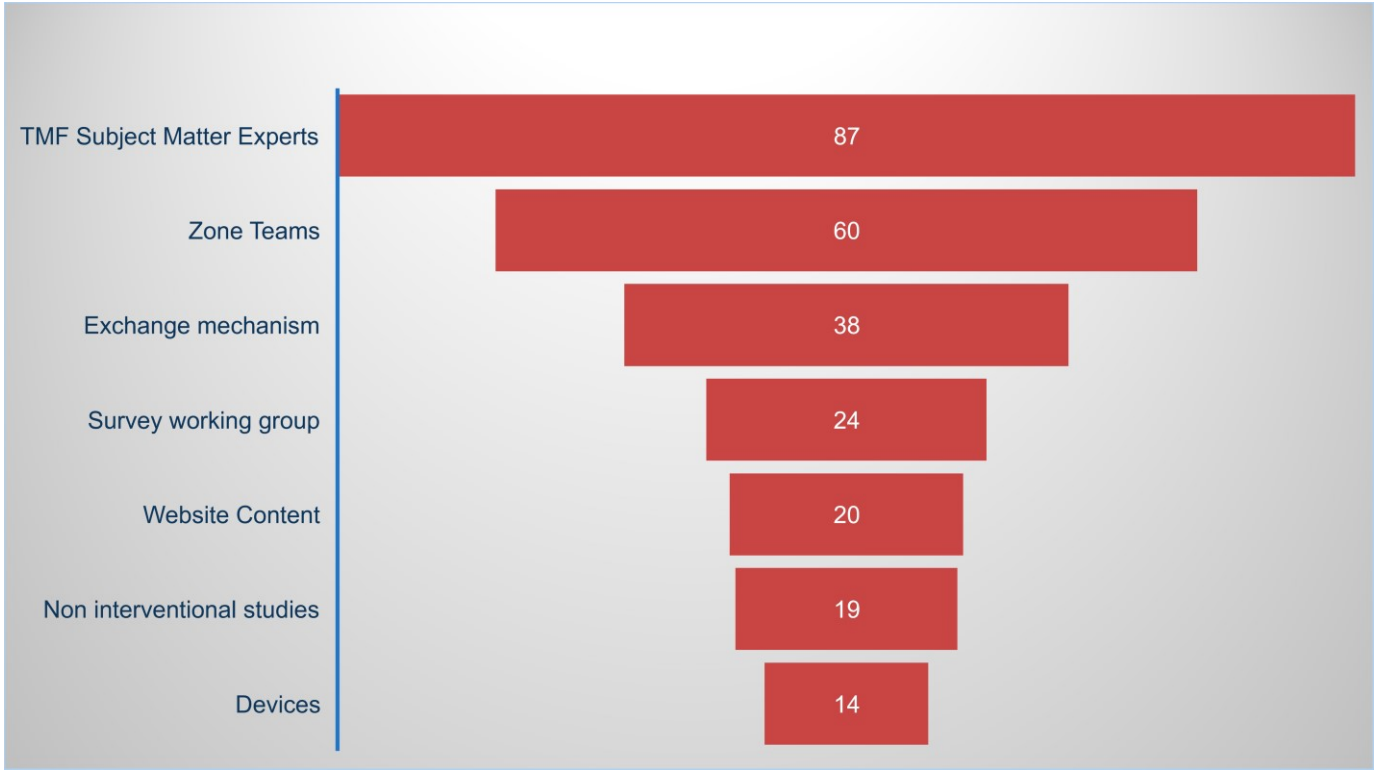
The TMF RM Community move to CDISC



Volunteer Demographics



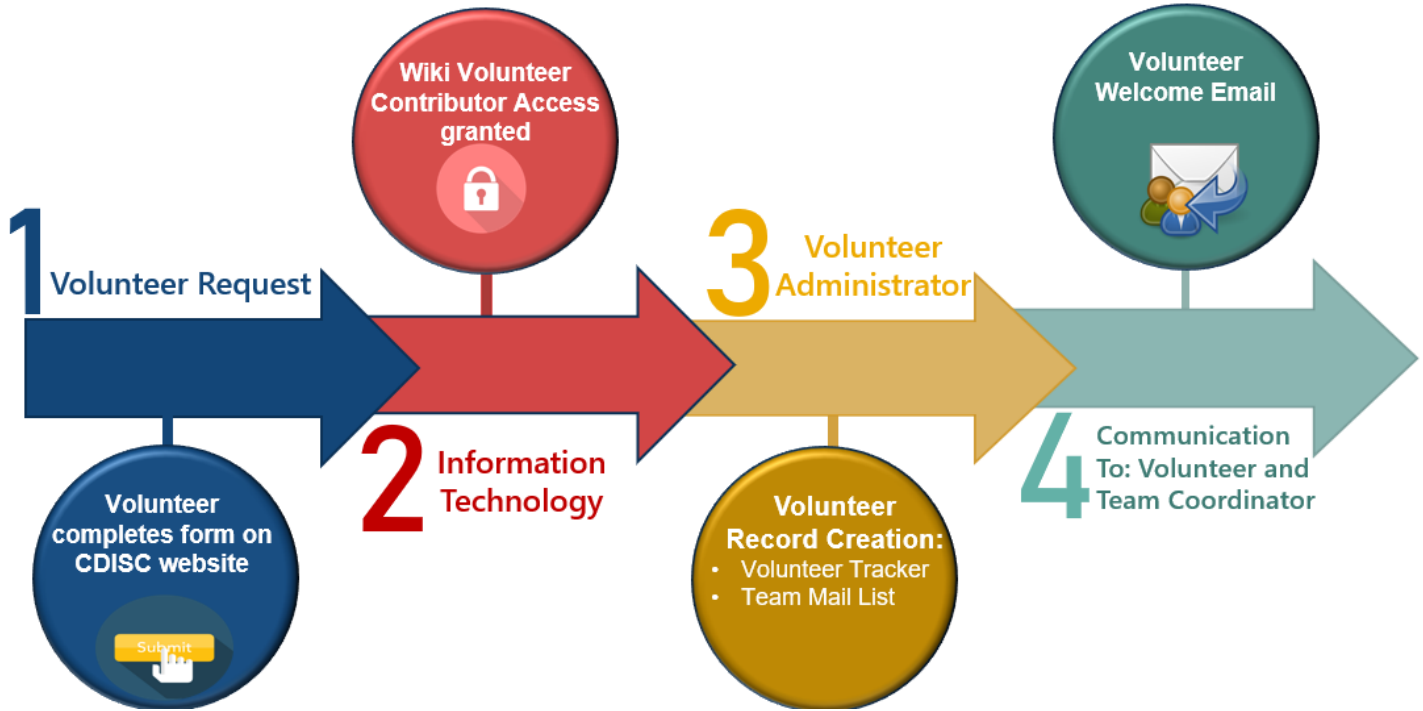
Special Interests of Volunteers



Registering as a Volunteer

- Navigate to https://www.cdisc.org/volunteer/tmf/form
- Review videos, CDISC policies, procedures, and CDISC and TMF charters
- Provide contact information
- Choose one or more TMF Volunteer Groups
- Submit form
- CDISC Volunteer Coordinator will begin onboarding process

Volunteer Onboarding



TMF Management Plan Template

Presenter:

Jamie Toth, Sr. Director TMF Management & Records at BeiGene; Member, TMF Reference Model Steering Committee

Agenda

1. Members & Charter
2. Summary of Revisions
3. Status to Go-Live

Members & Charter

Members

Name	Company
Jamie Toth - Workstream Lead	BeiGene
Marion Mays - Workstream CoLead, Subteam 2 Lead	Just in Time GCP
Gillian Gittens	TransPerfect
Mabel Ebot	Molecular Partners
Deb Oriez	Sarepta
Twanna Davis	FHI Clinical
Corunna Culver-Windham - Subteam 1 Lead	Relay Therapeutics
Debra Wells	Novartis
Donna Dorozinsky	Just in Time GCP
Dawn Niccum - Subteam 3 Lead	Inseption Group
Allison Grosik	Arcus Bio

Charter

Brief description of project & objectives:	Objective: Review the template published in 2018 and incorporate changes due to regulations, technology, pandemic needs. Template must still be: a *cross-industry usable, simplistic TMF Management Plan template. Guidance provided on how to deal with variations depending on study size, phase, type.*
Scope - In:	Template to be used for all clinical research study/trial types.
Scope - Out:	Development of an SOP. Processes already created within a given company around the TMF.
Desired deliverables:	•An updated, simplistic Plan template that can be used within any company, where company specifics can be added. •Guidance on Plan usage and any variations and how to adapt Plan.
Target end date:	15-Dec-2022
Status:	•First meeting held 03-Mar-2022; 3 mini teams formed to work on Subteam 1 – Sections 0-4, Subteam 2 – Section 5 – 8, Subteam 3 – Sections 9-12. Teams had 3 months to work on suggested updates. •Biweekly meetings scheduled March to Oct 2022. •Beginning in July 2022, brought the 3 drafts together to finalize master draft. •25-Aug provided to TMF Ref Model SC for comment. •As of 10-Oct, SC had ~46 comments and we have 11 left to review/reconcile.

Summary of Revisions

Summary of Revisions – High Level

- Updated instructions, added additional instructions throughout.
- Re-arranged the order of the sections, i.e. Transfer/archive is section 11 now.
- Added in tables for SOPs, training, vendor responsibilities.
- Created activities table, removed RACI.
- Created subsections for TMF Review documentation, Archiving at Sponsor or CRO/Vendor and Retention and Destruction.
- Created table for Legal Holds section.
- Added Transfer Agreement language into section 11.

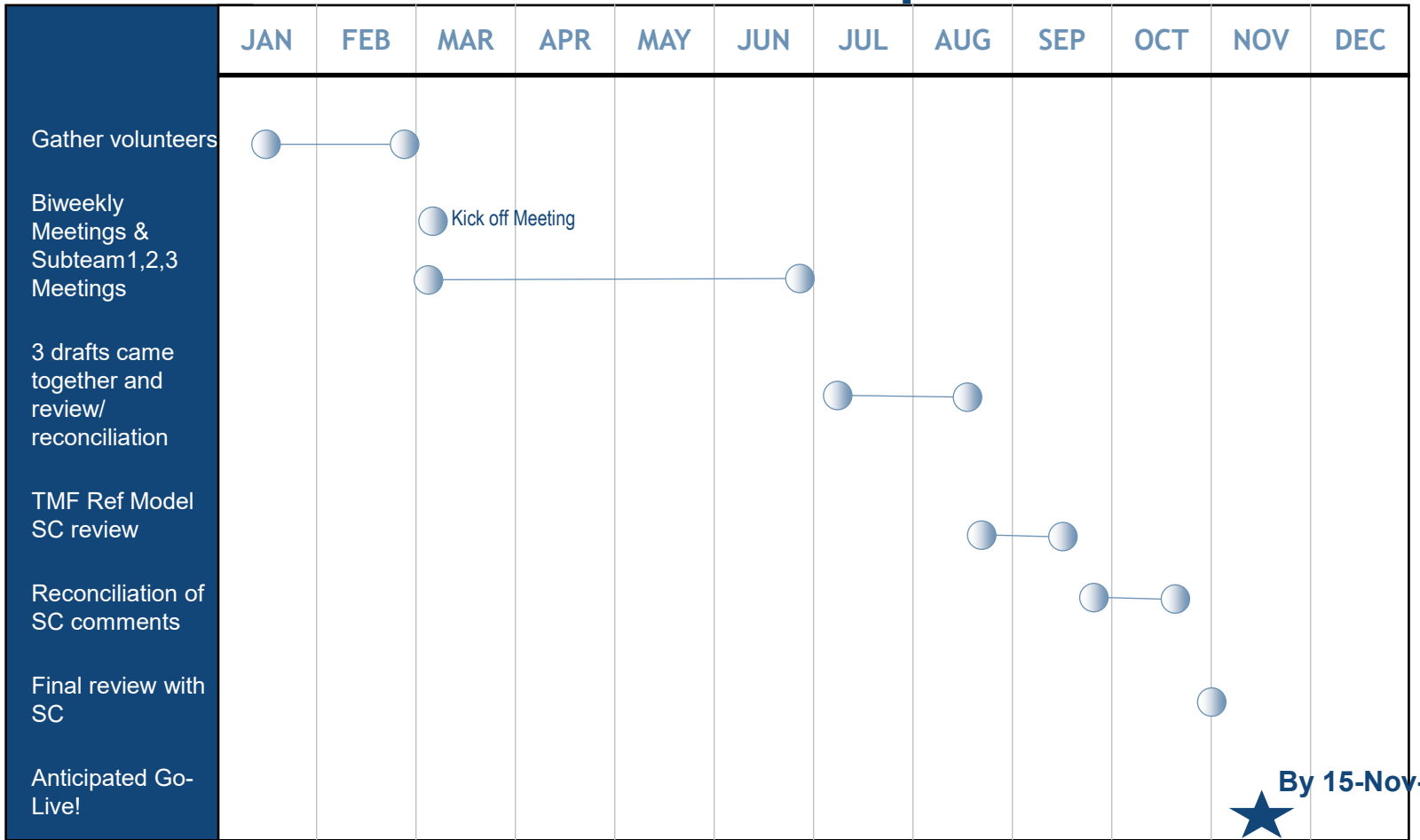
Summary of Revisions

[This TMF Plan Template Version 1.0 February 2018 was authored by the TMF Plan Template Subgroup of the TMF Reference Model Project; it was revised to version 2.0 MONTHYEAR. Replace with sponsor header information.]

Section #	Section Topic	Page #	Revisions Made
0	Instructions	1	Updated Instructions for intention and the font written in
0	Table of Contents	2	Re-arranged the order for better flow
1	Approvals	3	No major changes
2	Document Version History	4	No major changes
3	Definitions and Abbreviations	5	Added additional instructional text
4	Introduction	6	Reworded section, ensure boxes were clickable
5	Applicable SOPs	7	Added tables for both Sponsor and CRO SOPs
5.1	Sponsor Specific	7	
5.2	Vendor/CRO Specific	7	
6	TMF Training	8	Reworded section, added table for type of training by Role
7	TMF Oversight & Access Arrangements	9	
7.1	Responsibilities	9	Removed the RACI, added an Activity table to state who is doing what at Sponsor or CRO (or other vendor)
7.2	Access arrangements	10	No major changes
7.3	CRO/Vendor	11	No major changes
7.4	For Inspections/Audits	11	No major changes
8	TMF Content	12	
8.1	TMF Format, Structure/Content Map/Specifications	12	Minor wording changes and major change by adding in table by vendor type
8.2	Authoritative Sources	15	Minor wording changes.
8.3	E-Signatures, Originals, Wet Inks, and Raised Seals	15	Minor wording changes
8.4	Relevant Correspondence	15	No major changes
8.5	Unblinded Records	16	No major changes
8.6	Translations	16	Wording changes
9	Conducting TMF Reviews	17	Wording changes
9.1	TMF Review Plan	17	Added wording into instructional text and updated table
9.2	TMF Review Documentation	18	Section added
10	Record and TMF Disposition	19	Updated Instructions
10.1	Archiving	19	No major changes
10.1.1	Sponsor TMF at CRO/Vendor	19	Sub section added, although text was there in past
10.1.2	Investigator TMF	19	Sub section added, although text was there in past
10.2	Retention by CRO or Vendor	19	Sub section added
10.3	Legal Hold	20	Table added to make clearer
10.4	Destruction by CRO or Vendor	20	Sub section added
11	Transfer and Archival of TMF	21	Added TMF Transfer Agreeement info into instructional text; added column to table for type of Documentation
12	Appendix	22	Minor wording

Status to Go-Live

Status to Go-Live – Jan 2022 to present



Next Steps

Next Steps

- ✓ Version 1.0 to Version 2.0 comparison document.
- ✓ Listing of Revisions Made.
- ✓ Creation of feedback form for SC for ease of reconciliation and uploading into CDISC WIKI in future.
- Finish Reconciliation of all SC feedback (11 comments to go!) on 14-Oct.
- Review final reconciled version with SC on 19-Oct or 02-Nov.
- Share to industry via upcoming conferences (EU TMF Summit) and social media!

Thank You!

Questions?

Jamie.Toth@beigene.com use subject *CDISC TMF Plan Template*

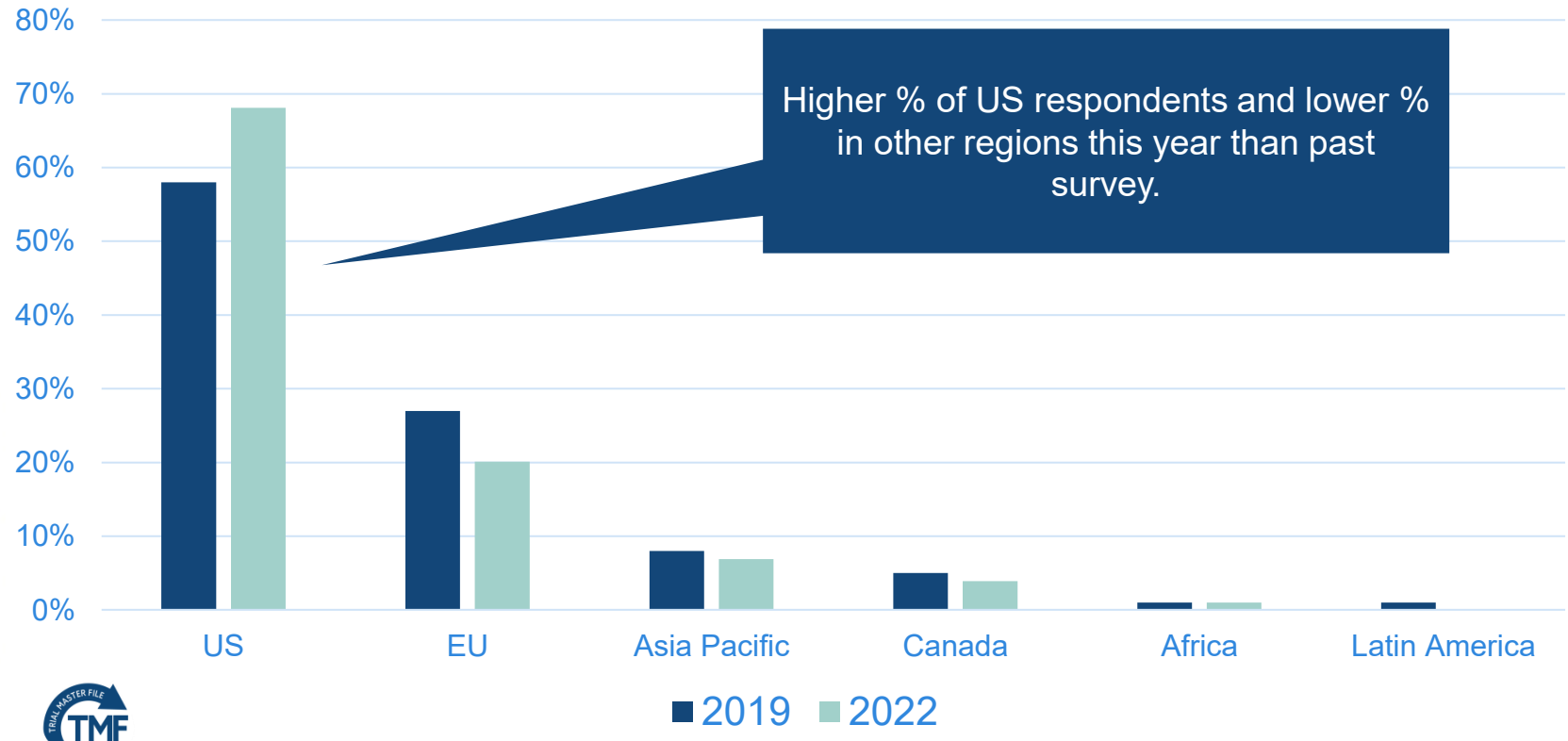
TMF Survey Report Out

Allison Varjavandi

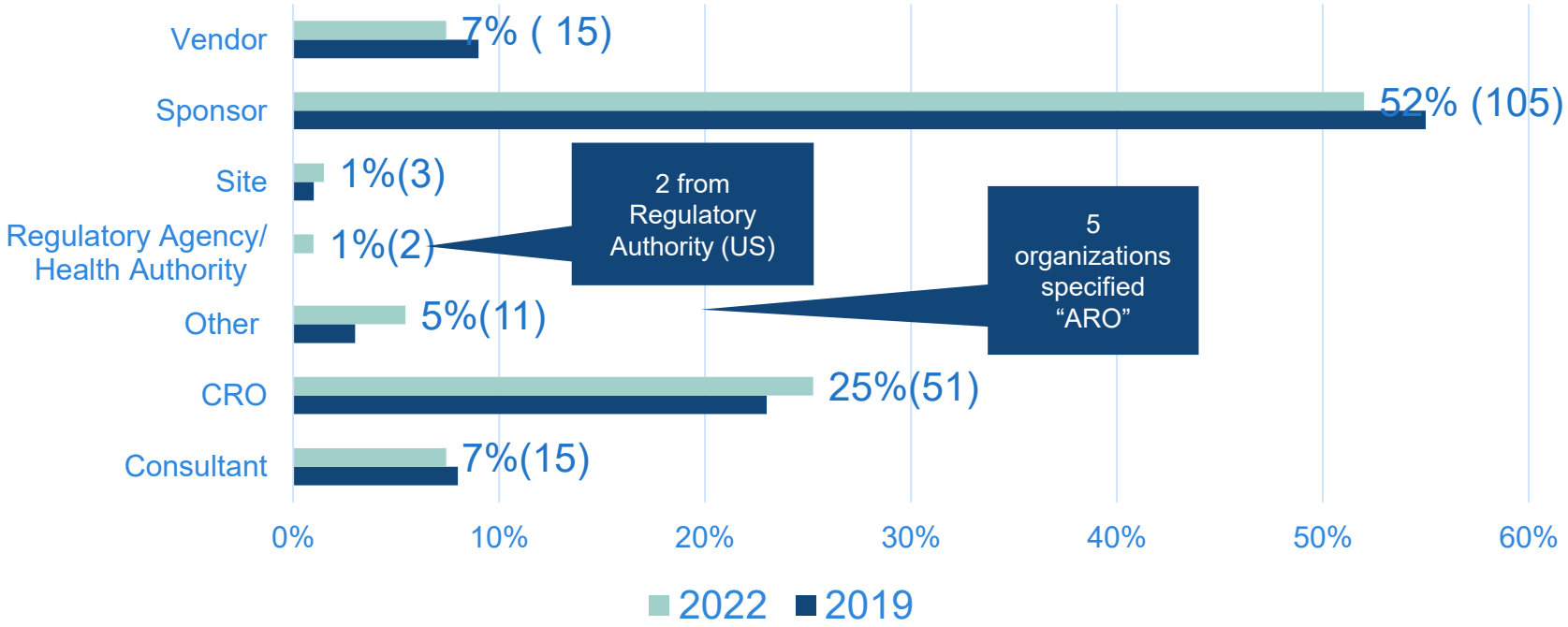
TMF Reference Model Survey

- Thank you to everyone who contributed to the Survey conducted June 2022!
- Many of the comments collected through the survey will be communicated to the Steering Committee and Change Control Board to determine where there is opportunity for enhancement to tools, model etc.
- Today, we will share some interesting takeaways we learned from the survey results.

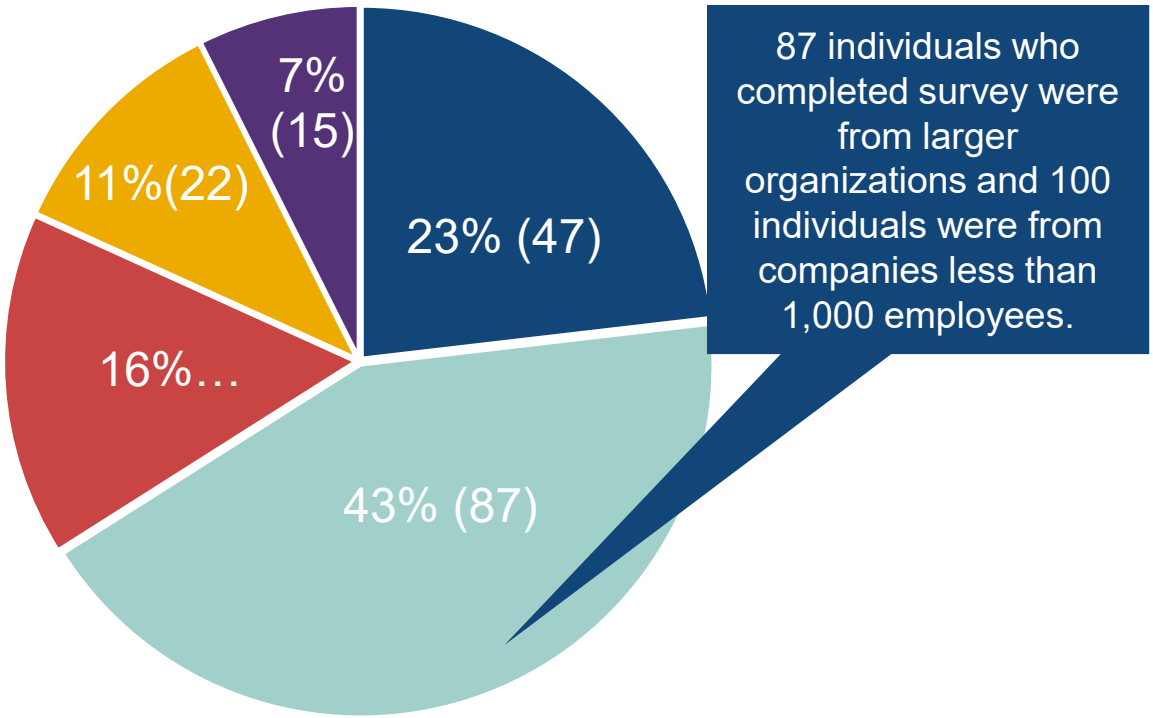
Location of the 206 Survey Respondents



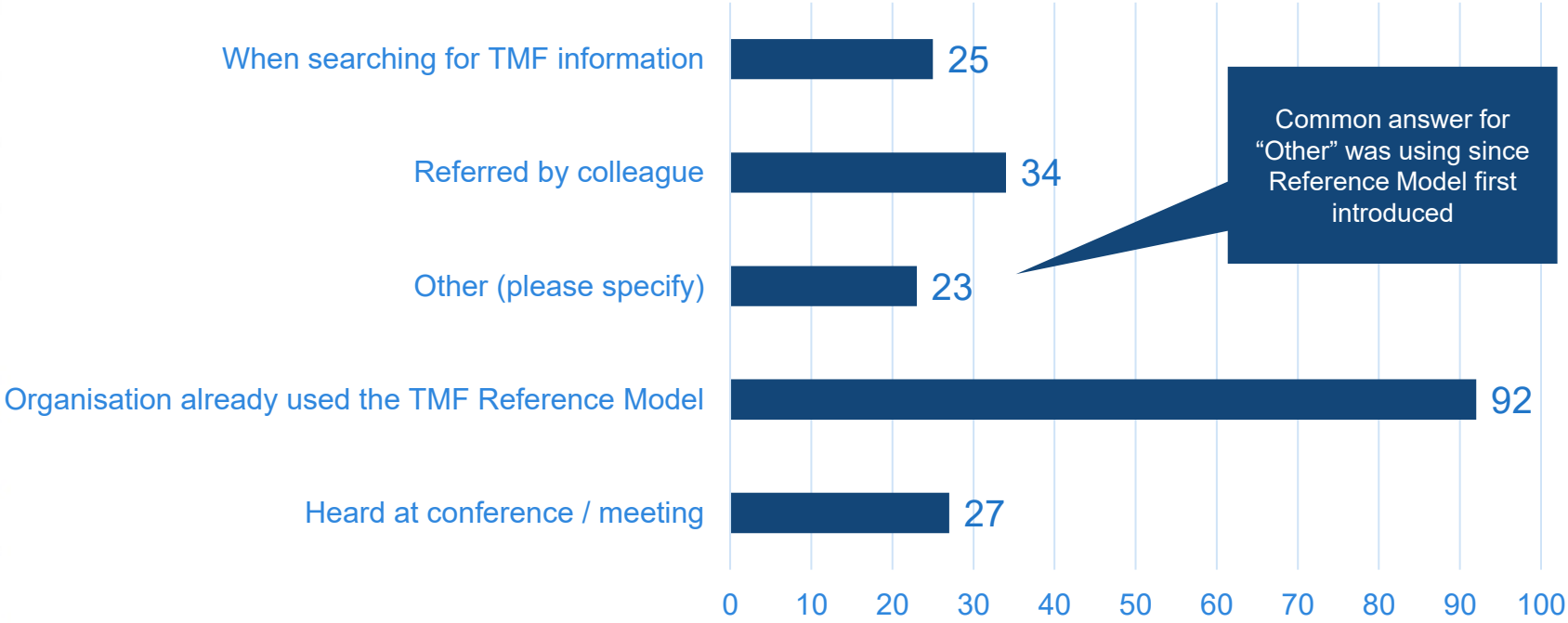
Organization Breakdown of Respondents



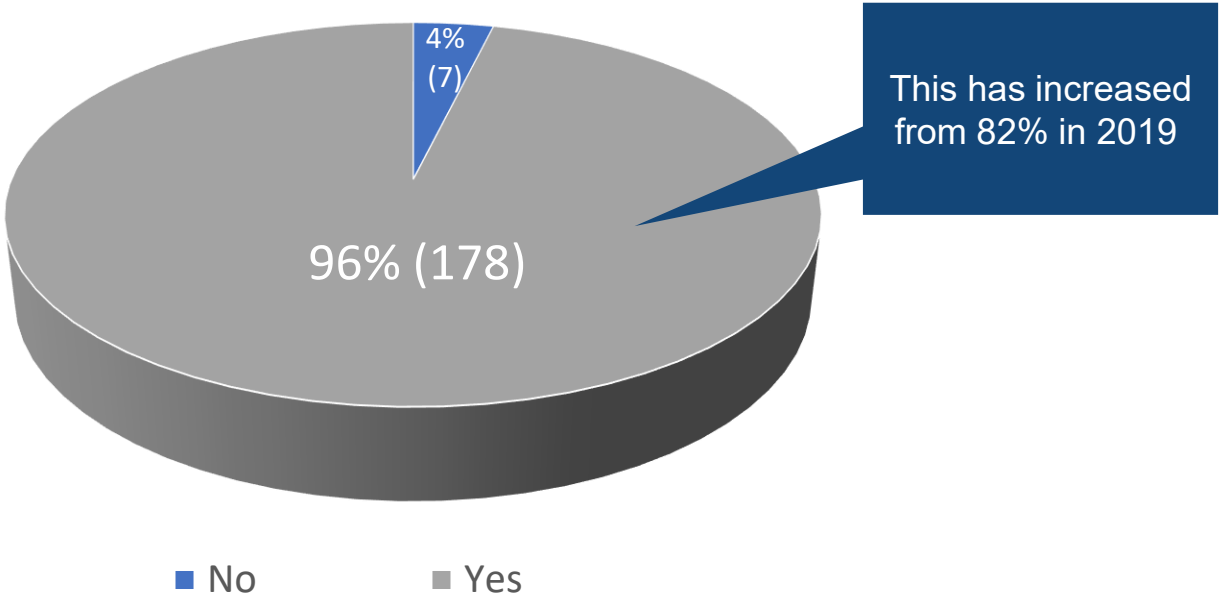
Organization Size for Survey Respondents



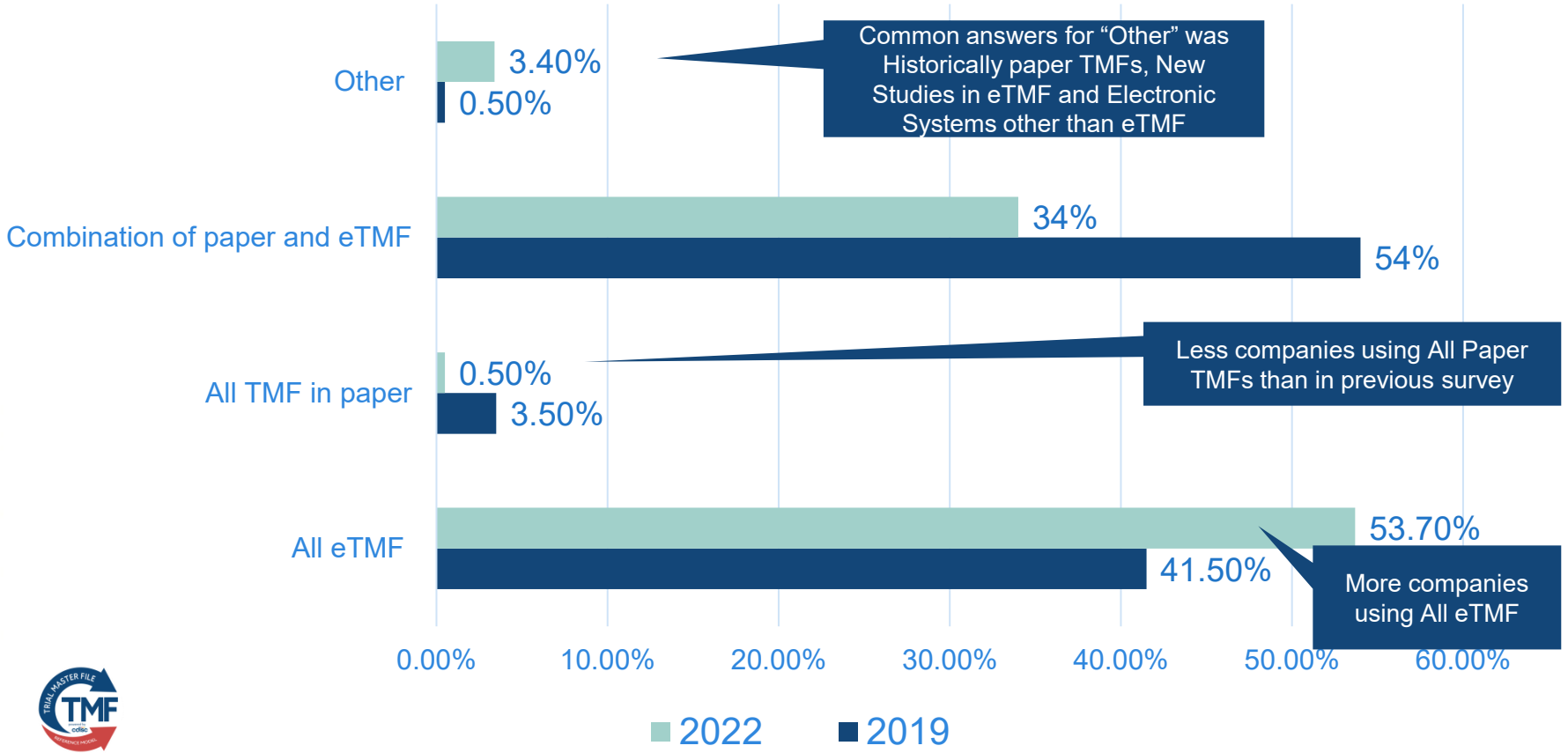
How did you hear about TMF Reference Model?



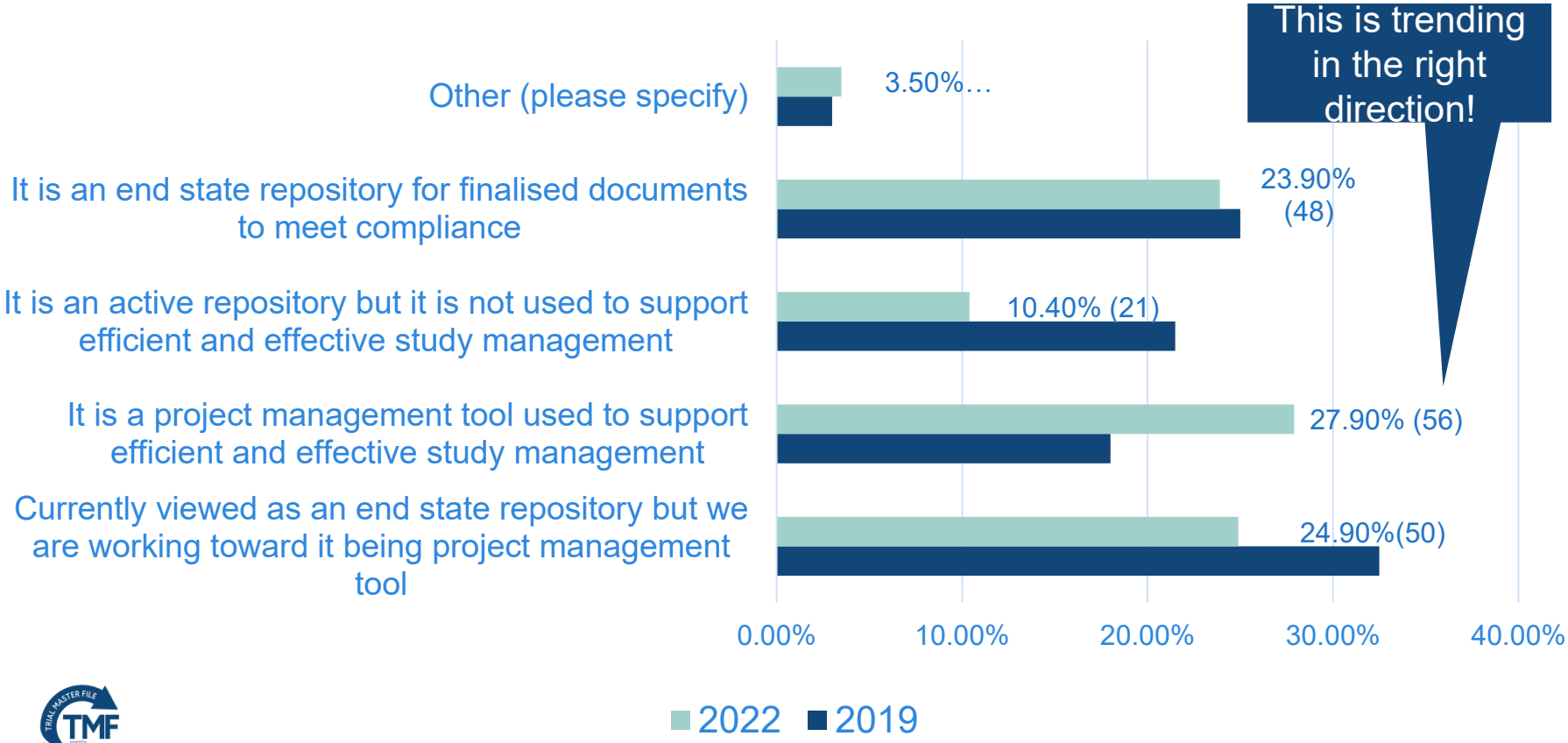
Organizations using TMF Reference Model



In which format is your organization's TMF?

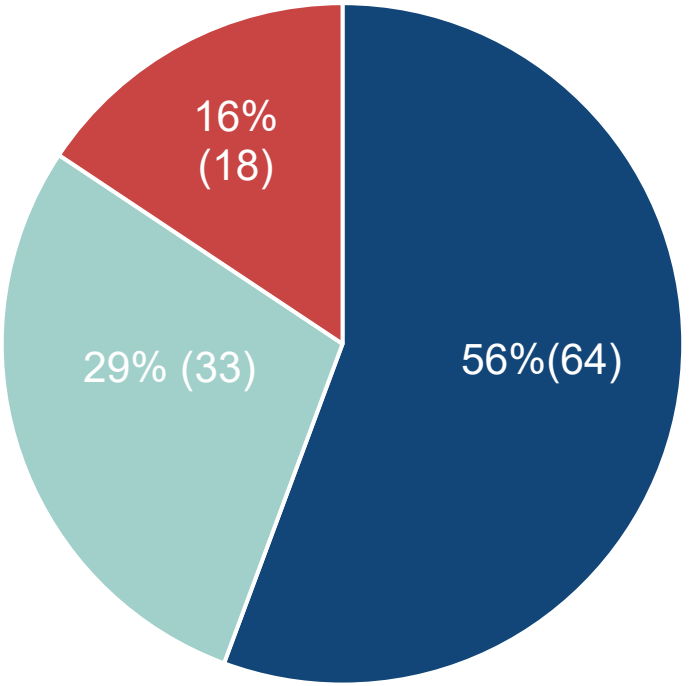


Overall, what is your organizational view of TMF?

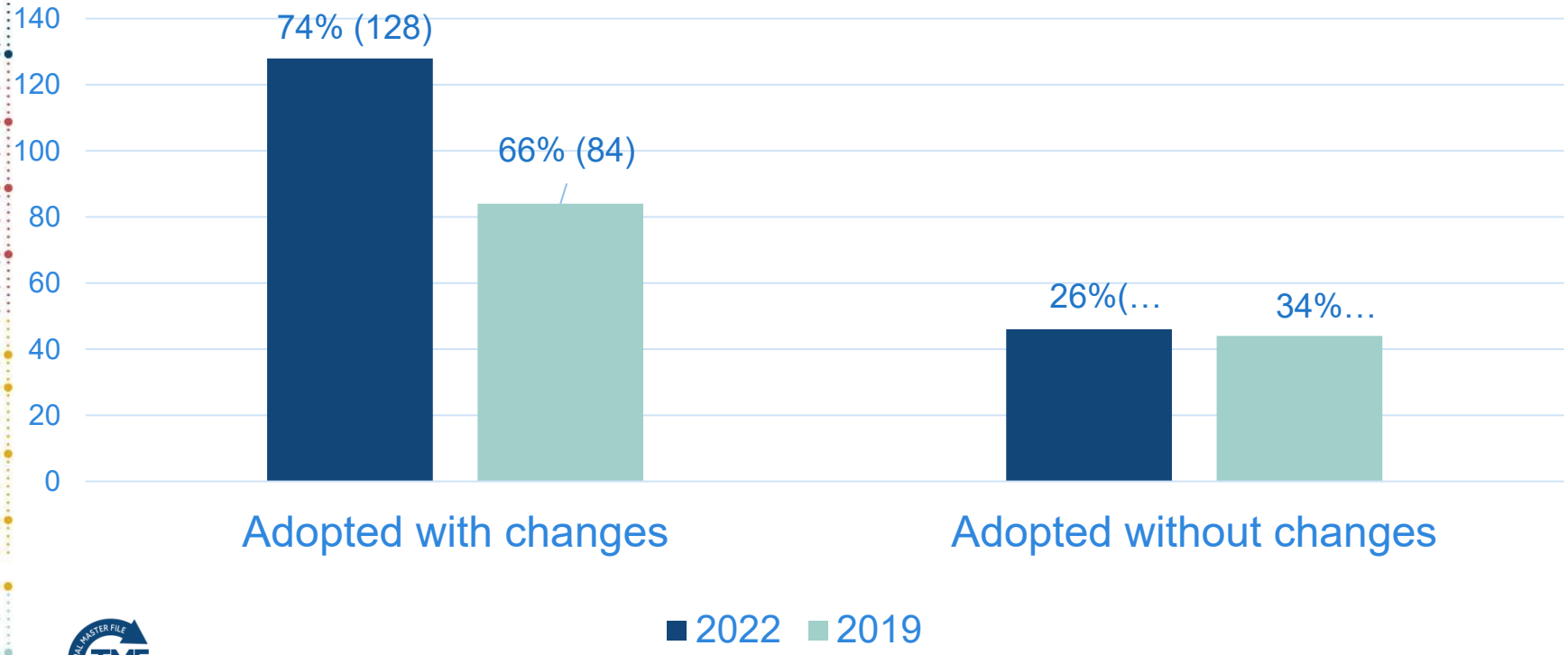


If you are a Sponsor, do you utilize your own eTMF or do you use CRO's eTMF?

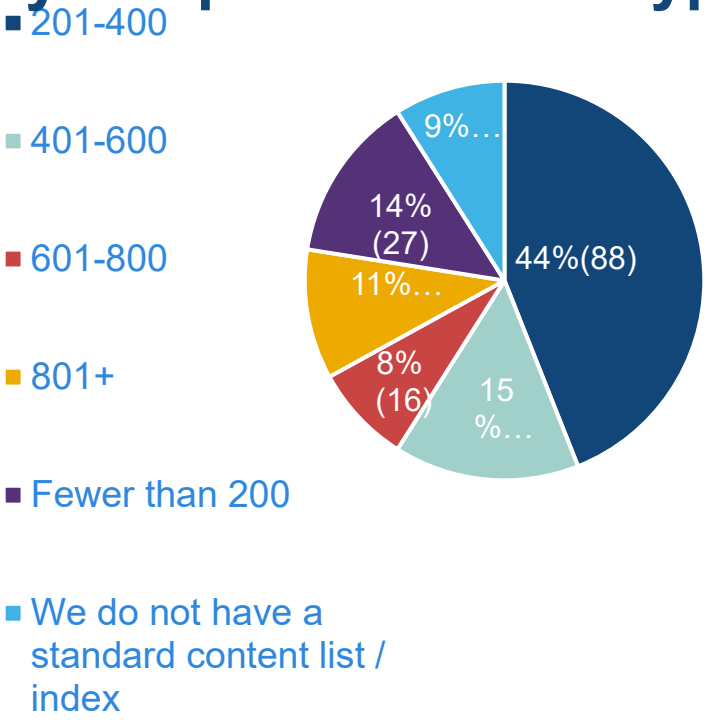
- Use our own eTMF solution and require CRO to use our eTMF
- Use our own eTMF solution only for sponsor-generated records and CRO eTMF for CRO / site-generated records
- We don't have an eTMF so use the CRO eTMF



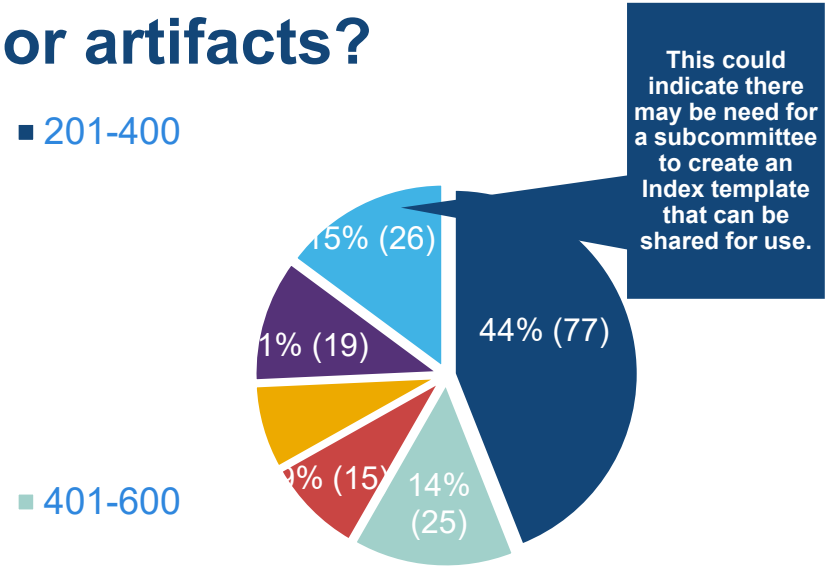
Has your organization adopted the Model without any change?



How many document types or artifacts are identified in your index / table of contents? In other words, how many unique document types or artifacts?

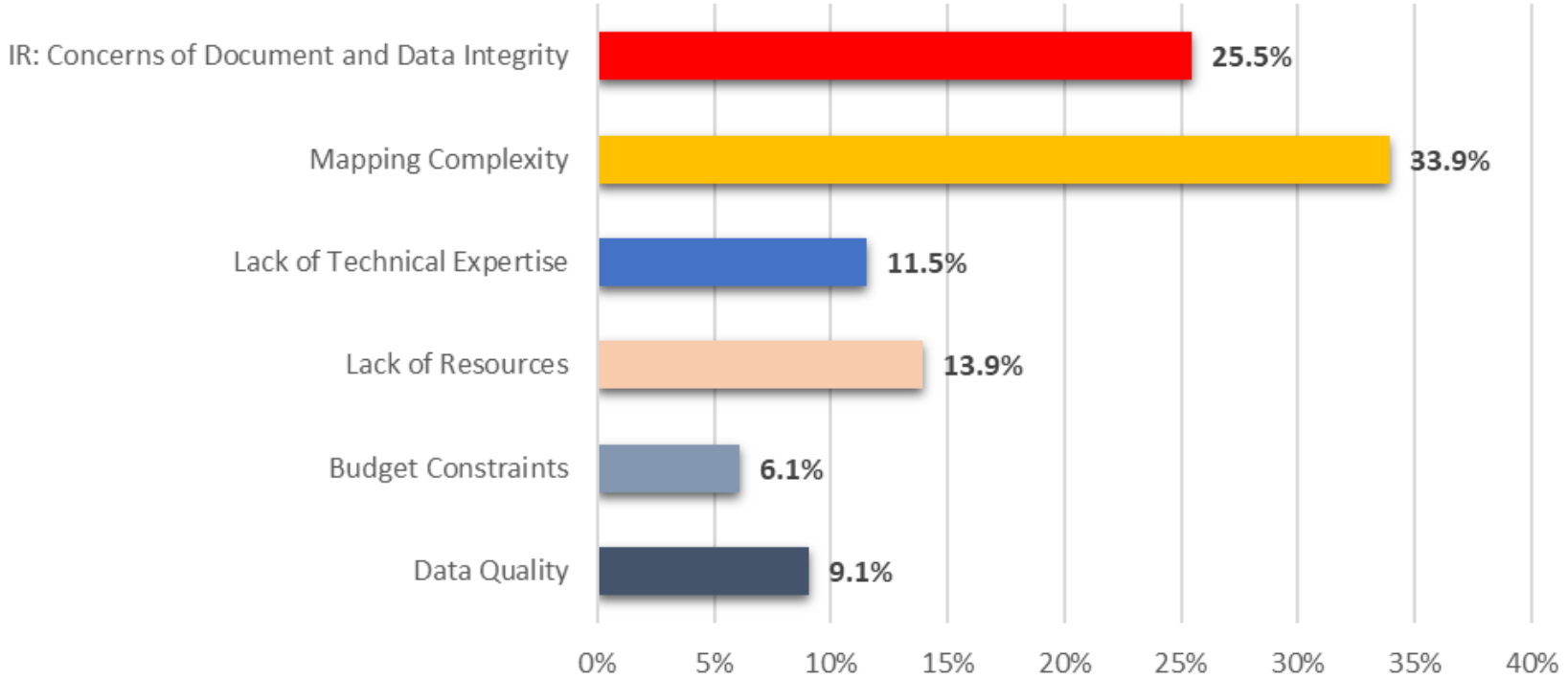


2019

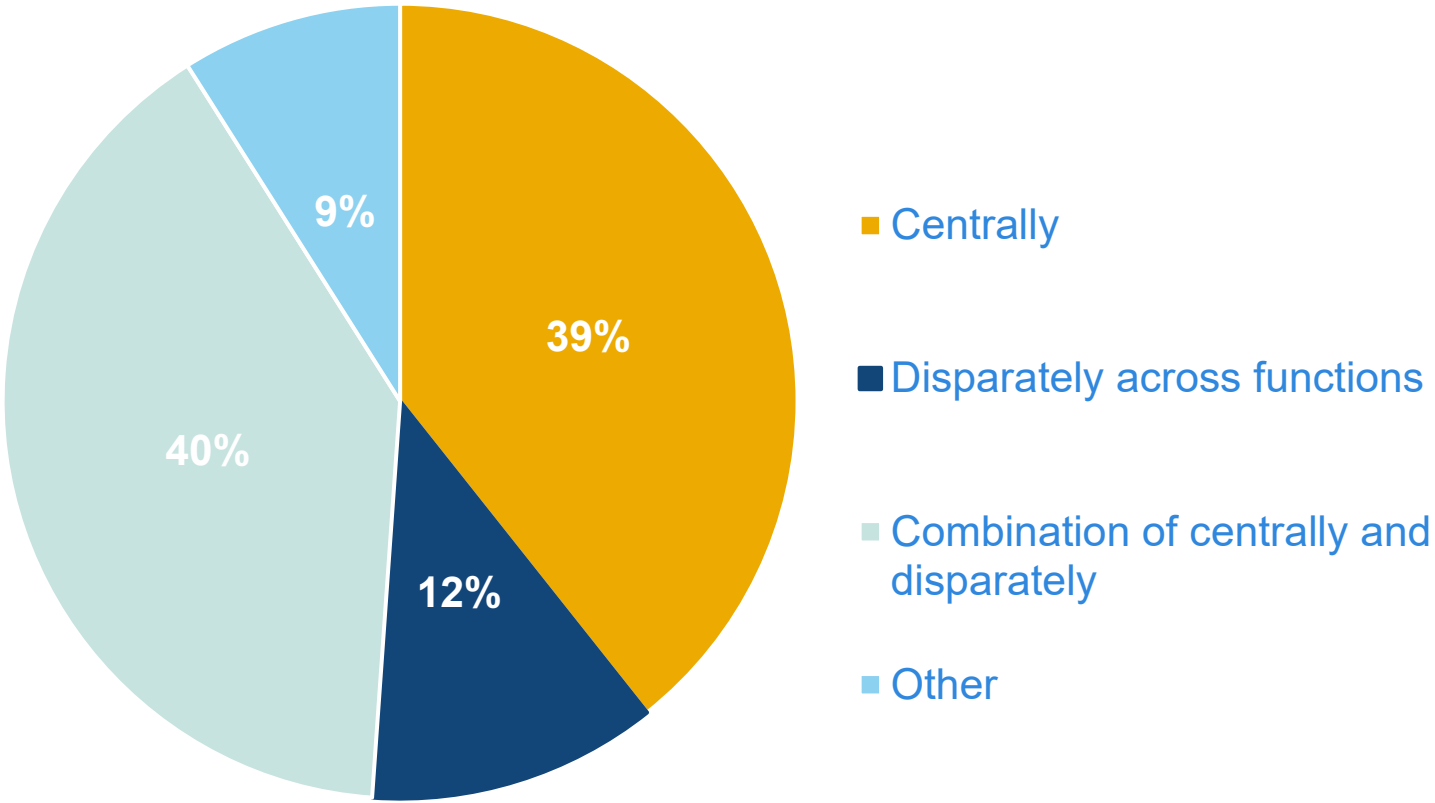


2022

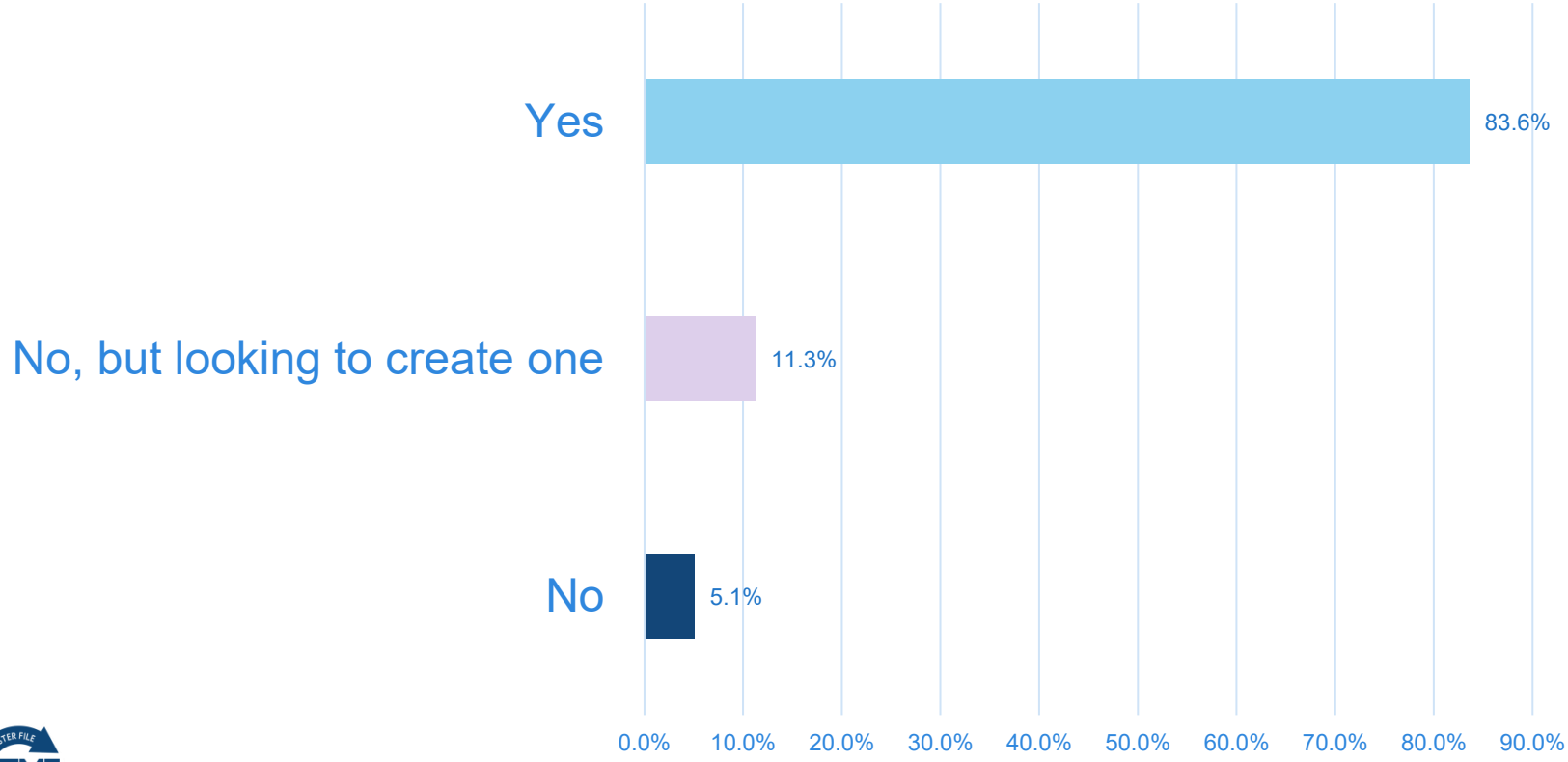
Factors Impacting Electronically Transferred TMF Content between Systems/Organizations



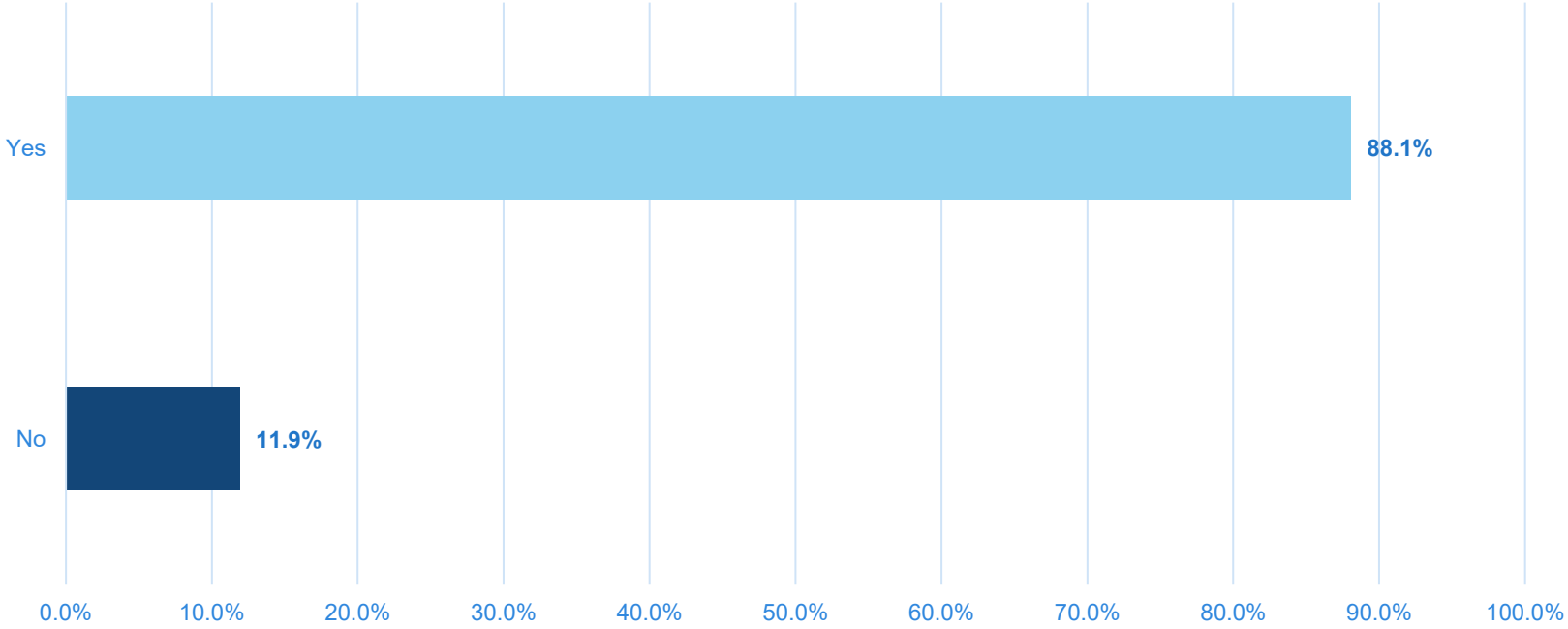
How TMF is Managed In or Across the or Organization



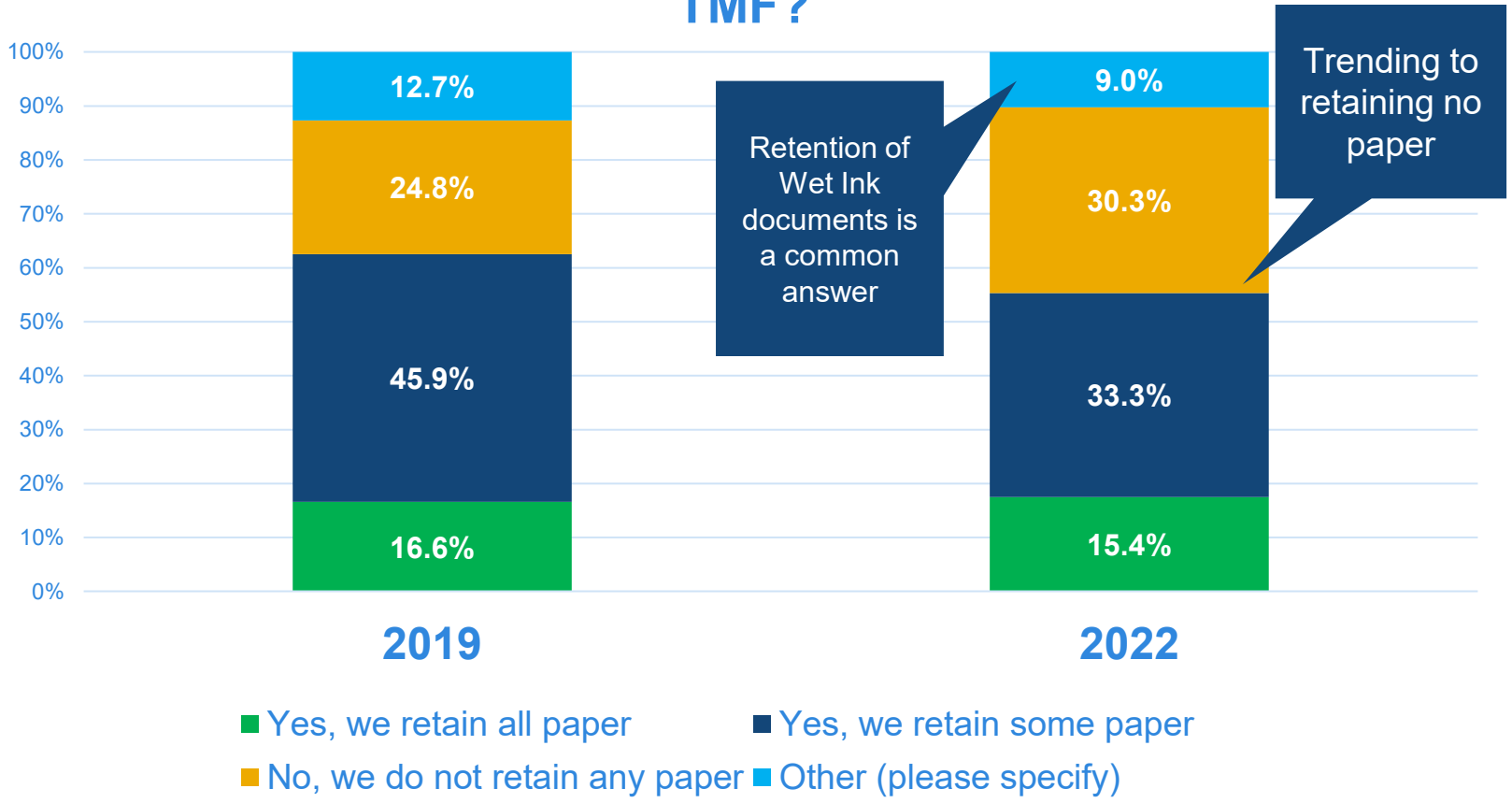
Does your Organization have a TMF Plan for each Study?



Do you routinely capture / retain relevant e-mail communications in the eTMF?

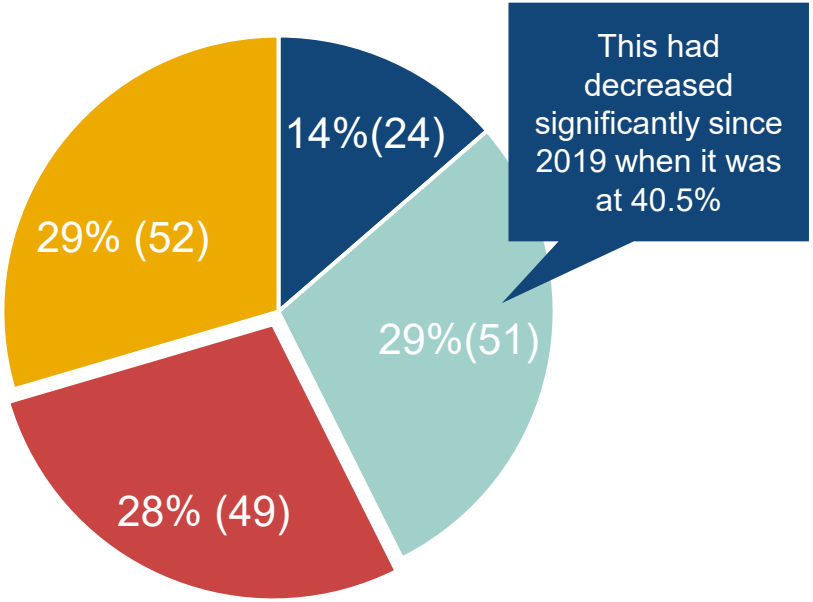


Do you Retain Paper Content Scanned into your TMF?

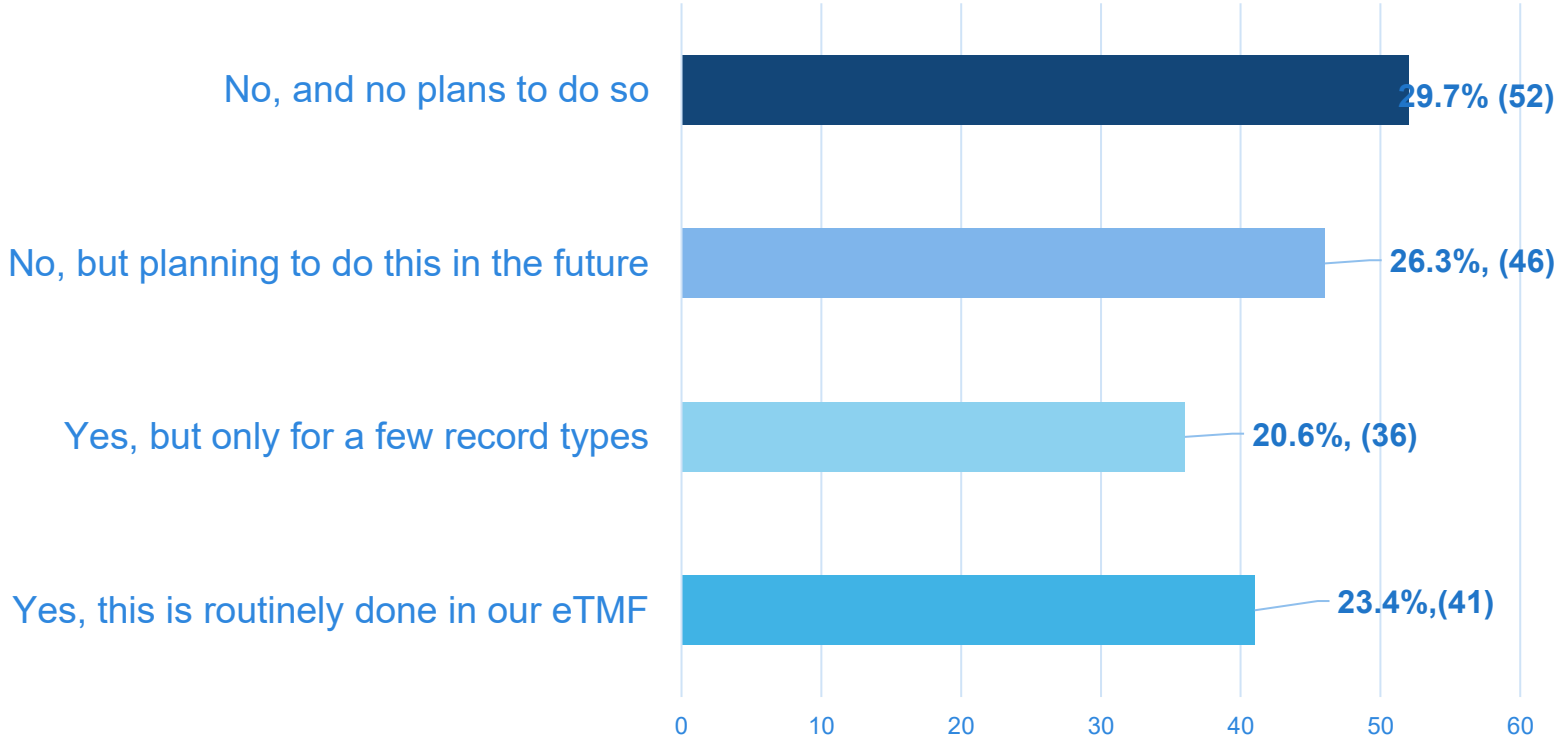


Which type of certified (true) copy policy does your organization promote?

- Other
- We do not have a certified copy procedure
- We have a certified copy procedure that applies only when an original is irreversibly replaced



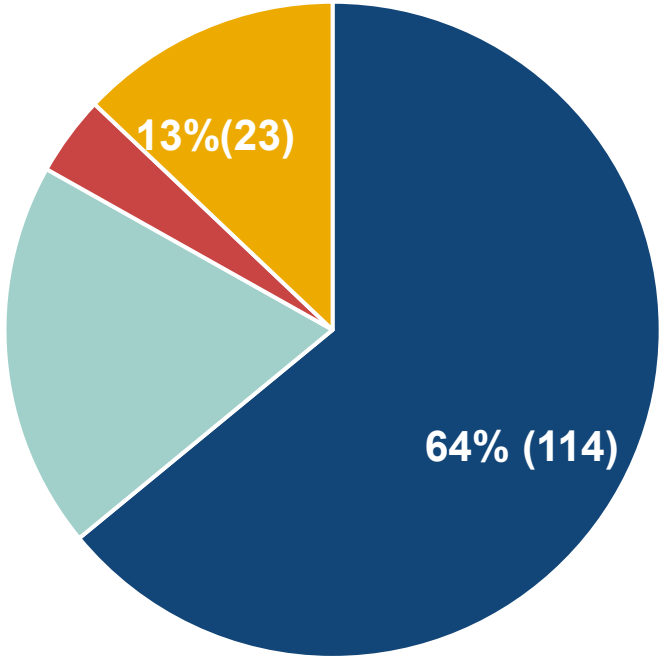
Does your Organization Author Documents in the eTMF?



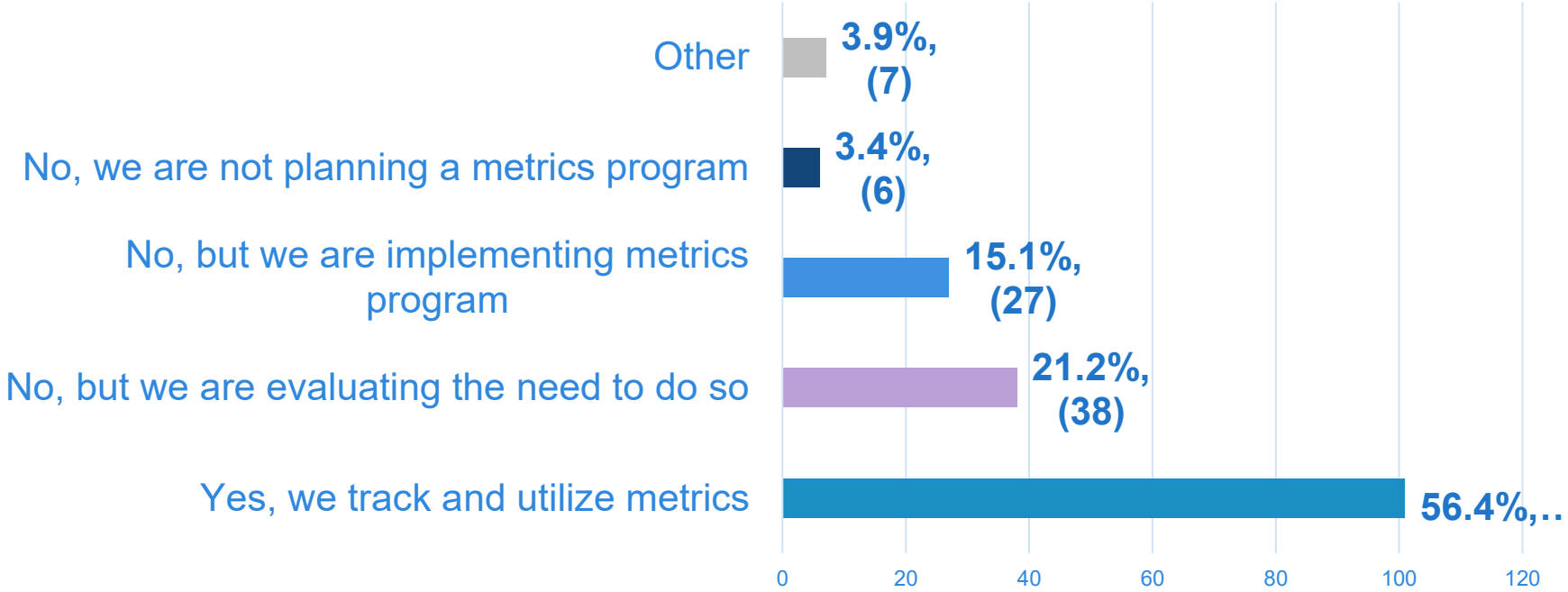
70% currently author in eTMF at some level or are planning to do so in future and about 30% do not and have no plans to author in future.

Who is accountable for assessing the TMF completeness at your organization?

- All contributing functions
- A central group
- Partnering organizations (e.g., CRO, Site)
- Other

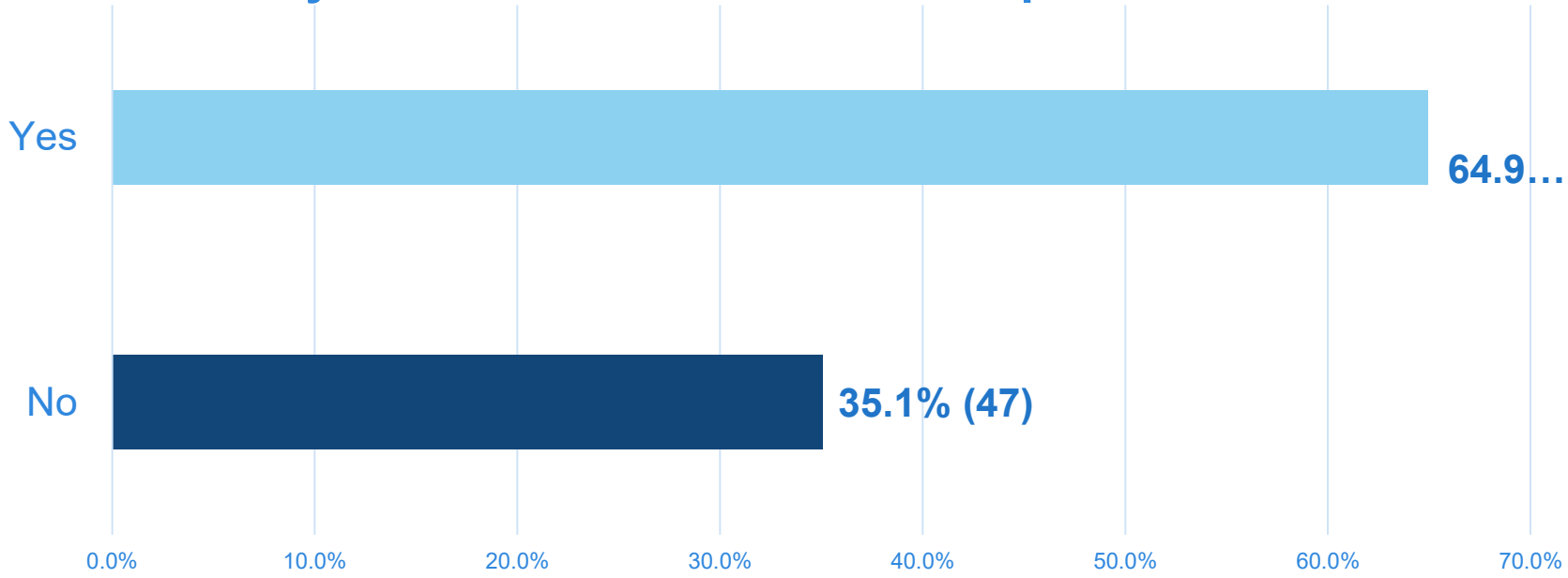


Do you track and utilize TMF metrics in a systematic way (e.g. metrics program /measure TMF quality/efficiencies)?



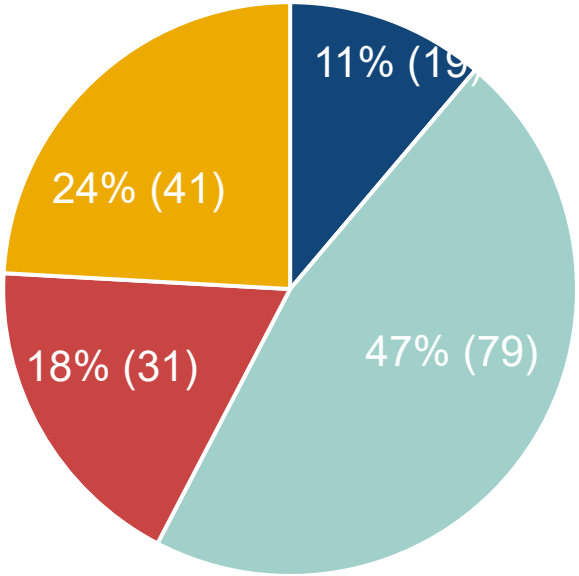
About 72% are tracking and utilizing metrics or are implementing a metrics program. This is slightly higher than 69% in 2019

Do you measure metrics on CRO performance?



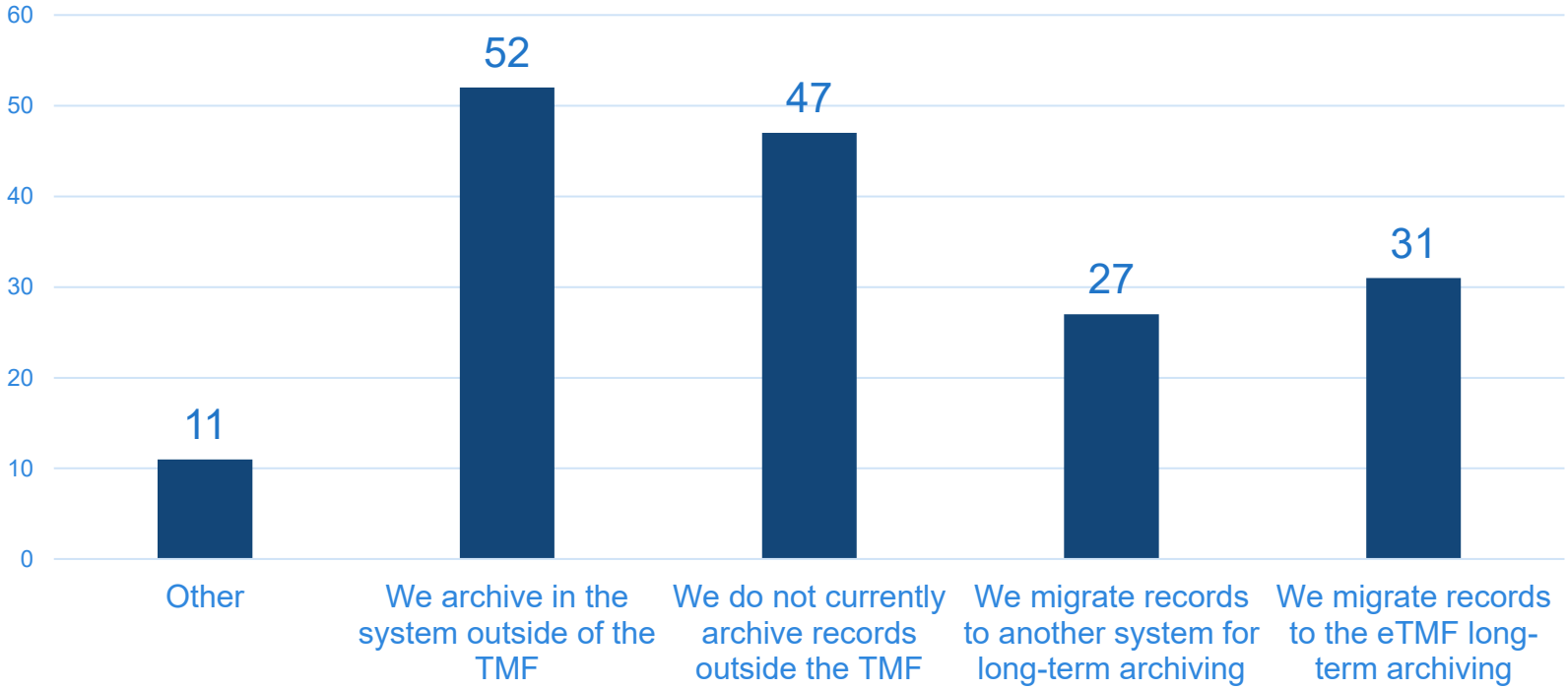
How do you archive records in your eTMF?

- Other
- We archive directly in the eTMF solution
- We do not currently archive the TMF
- We migrate records from eTMF to another system for long-term archiving

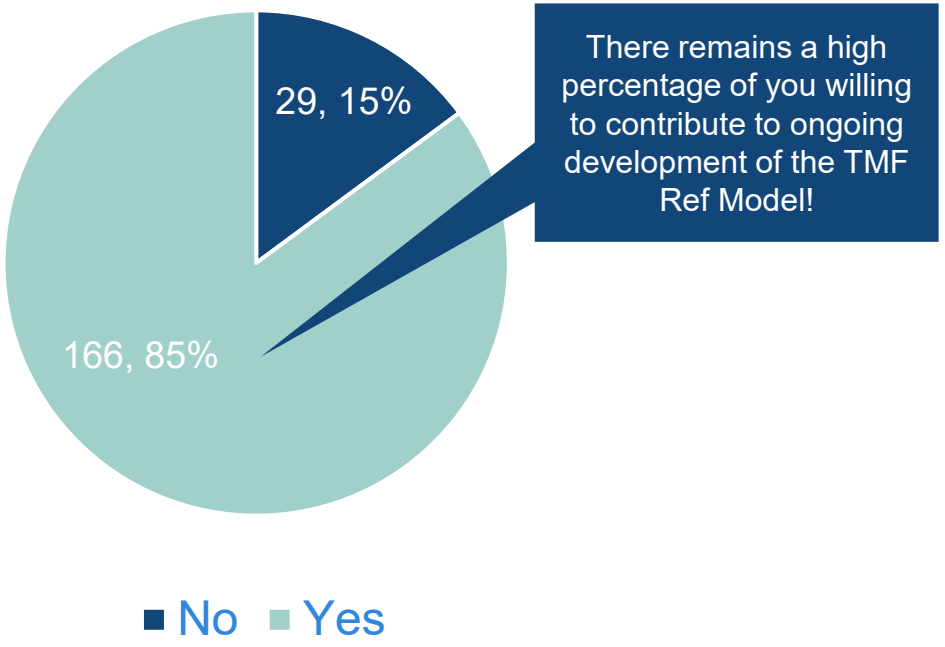


78% of respondents follow a formal TMF Archive process outlined in their company SOPs.

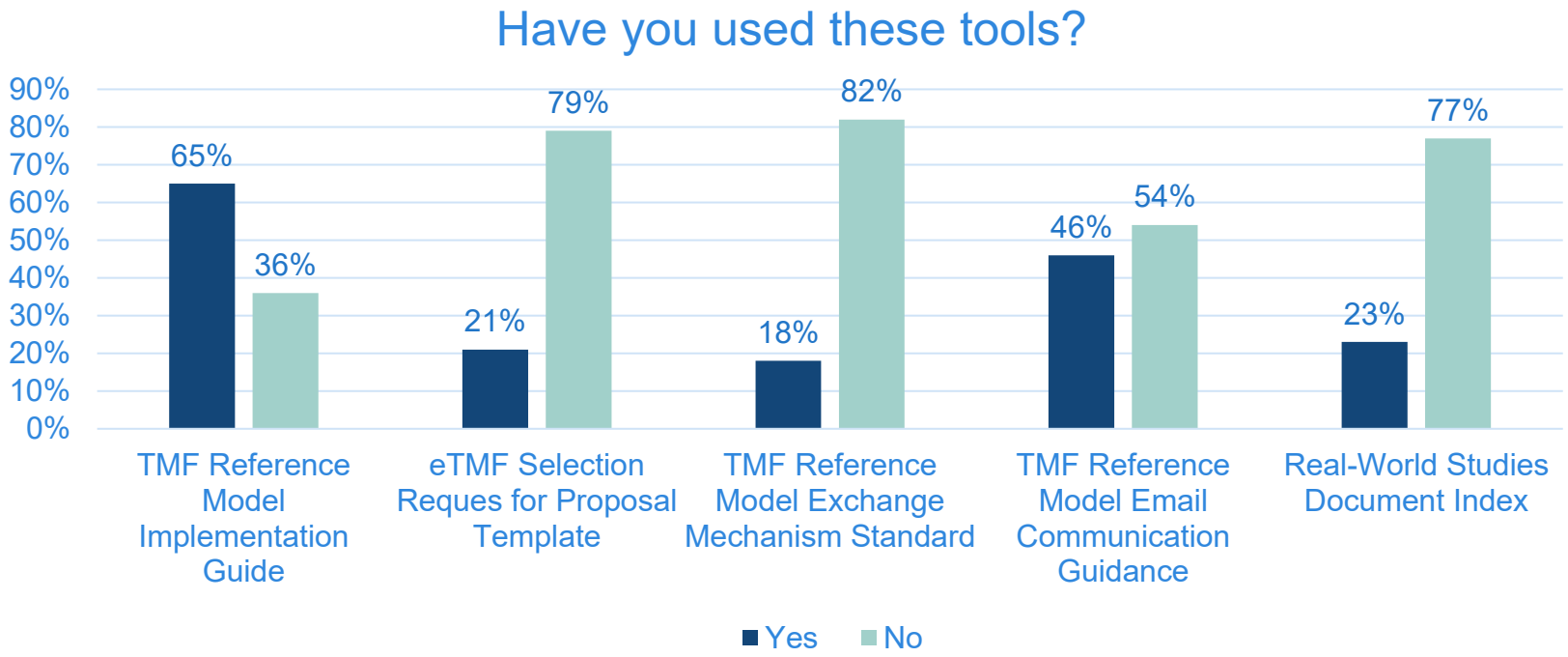
How do you archive TMF content kept outside of the primary TMF?



Would you be willing to contribute to ongoing development of the TMF Reference Model?

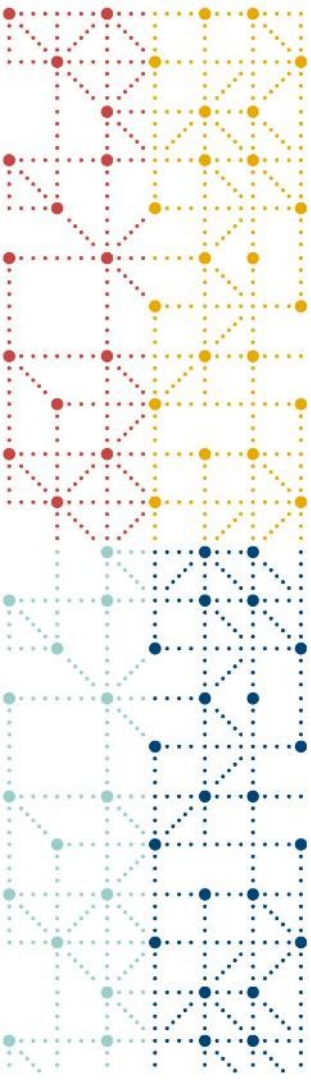


Use of TMF RM Tools/Templates



Why not? Most common response was they didn't know it existed. A few commented they didn't have a need to use it and few didn't know or were not responsible for that part of the business.

Thank You!



CTIS

Scott Feiner, Abbvie

Upcoming Events

Upcoming Events

- 26-27 October; Austin, TX: **CDISC 2022 US Interchange**
- 14-16 November; London: **Fierce European TMF Summit**

Thank You!